



Cboe Australia Business Continuity Plan (BCP) Event - Saturday 14 October 2023

Tuesday 5th September 2023
Reference ID: 0012|23

OVERVIEW

Applicable Cboe Exchanges: Cboe Australia

Cboe Australia will be performing a BCP event to failover from Primary instances in Equinix SY5 data centre to Backup instances in Global Switch data centre

Please note this BCP event will only be relevant to those Cboe Australia participants and vendors who have a presence at Global Switch. **Please be aware however, that all Multicast Market Data clients will receive market data flow during the tests on Saturday 14th October and will therefore need to ensure that their systems handle/ignore the market data flow accordingly.**

ADDITIONAL INFORMATION

Scope of the BCP Event

The BCP event will focus on the failover of components from the Primary data centre (Equinix SY5) to the Backup data centre (Global Switch), including:

- Backend Matching Engine;
- Order entry / execution connections;
- Market Data connections;
- allowance of both order matching and trade reporting;
- testing will be limited to CXA systems and will not involve trades being sent to CHES;
- all orders and trades processed during the test will be deleted from Cboe Australia systems at the conclusion of the test in readiness for normal trading on Monday 16th October 2023.

Prerequisites for participating in the BCP event

Please [email your request to participate to Cboe Australia](#) and also to your trading application vendor (if applicable) by the end of business **Friday 13th October 2023** including:

Your firm's primary **contact name, email, phone number** during the test.

Testing Timetable

Cboe Australia's Production system will be open from 08:45 to 12:45 (AEDT). Cboe Australia and ASX have aligned their respective BCP testing schedules to best align with client resources. The trading date for all transactions executed on Cboe Australia will be Saturday 14th October 2023.

Trades performed during the test will not flow to CHES.

Test symbols are available during testing. Cboe Australia will not generate orders or trades during this test.

08:45 - Connectivity available for Market data, BOE and FIX based logins;

09:15 - Trade reporting enabled;

09:30 – Cboe Australia market open for **order entry and continuous trading**.

From 10:15 – Cboe Australia to perform a **site failover** scenario including failover of the matching units, order handler & PITCH market data dissemination processes.

By 10:45 - Cboe Australia to have completed **site failover** scenario & continuing to operate with only the DR site active until the conclusion of the test.

Market data A and B Feed connections will be disabled and **E (at the Global Switch DR site) Feed** connections will persist;

Matching Units & Order Handlers will be failed over from the Primary to the DR instance;

12:27 – MOC Only

12:32 – Post Market

12:45 - Market Close. Logins will be disconnected. All order and trade activity performed during the test will be deleted from Cboe Australia systems.

Please contact Cboe Australia Trade Desk with any queries.

We appreciate your business. Our trading community inspires and drives our purpose of building trusted markets.

Cboe Australia Trade Desk

+61 2 8078 1701 | tradedeskau@cboe.com

THE EXCHANGE FOR THE WORLD STAGE™

Web: cboe.com/au | [Disclaimer](#)