Restricted Clearing Trading Permit Holder OCC Number 674 on Cboe Options Exchange

Reference ID: C2023102401

OVERVIEW

Applicable Cboe Exchange: Cboe Options

Pursuant to Cboe Options (“Cboe Options” or the “Exchange”) Rule 5.10, Clearing Trading Permit Holders (CTPHs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other TPHs by “Opting In” to the list of restricted give-up firms on the Exchange. Such opt in requests must be submitted to the Exchange by CTPHs using the necessary paperwork located here.

If a CTPH opts into the restricted give-up firm list, the Exchange will require written authorization from that CTPH in order to permit another TPH to give up a Restricted OCC Number.

If a CTPH does not opt in, that Clearing TPH's OCC number(s) may be given-up by any other TPH organization on the Exchange.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange website here.

CLEARING TPH UPDATE

The Cboe Options Exchange would like to inform TPHs that ABN AMRO Clearing USA LLC has restricted its OCC Clearing Number 674 effective January 22, 2024. As of that date, any TPH not approved by ABN AMRO Clearing USA LLC will no longer be able to use OCC Number 674 as a Give-Up.

ADDITIONAL INFORMATION

Please contact Membership Services with any questions you may have regarding Cboe Options Rule 5.10 and CTPH restrictions.

We appreciate your business. Our trading community inspires and drives our purpose of building trusted markets.

Membership Services
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