



Restricted Clearing Trading Permit Holder OCC Number 733 on Cboe Options Exchange and C2 Options Exchange

Reference ID: C2021020900

Overview

Pursuant to Cboe Options (“Cboe Options” or the “Exchange”) Rule 5.10 and C2 Options (“C2 Options” or the “Exchange”) Rule 6.30, Clearing Trading Permit Holders (CTPHs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other TPHs by “Opting In” to the list of restricted give-up firms on the Exchange. Such opt in requests must be submitted to the Exchange by CTPHs using the necessary paperwork located [here](#).

If a CTPH opts into the restricted give-up firm list, the Exchange will require written authorization from that CTPH in order to permit another TPH to give up a Restricted OCC Number.

If a CTPH does not opt in, that Clearing TPH’s OCC number(s) may be given-up by any other TPH organization on the Exchange.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange Website, markets.cboe.com, located [here](#).

Clearing TPH Update

The Cboe Options Exchange and C2 Options Exchange would like to inform TPHs that BofA Securities Inc. has restricted its OCC Clearing Number 733 effective May 9, 2021. As of that date, any TPH not approved by BofA Securities Inc. will no longer be able to use OCC Number 733 as a Give-Up.

More Information

Please contact Membership Services with any questions you may have regarding Cboe Options Rule 5.10 and/or C2 Options Rule 6.30 and CTPH restrictions.

We appreciate your business. Our trading community inspires and drives our mission of defining markets.

Membership Services

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