



Restricted Clearing Member OCC Number 733 on EDGX Options Exchange and BZX Options Exchange

Reference ID: C2021020901

Overview

Pursuant to EDGX Options (“EDGX Options” or the “Exchange”) and BZX Options (“BZX Options” or the “Exchange”) Rule 21.12, Clearing Members (CMs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other Members by “Opting In” to the list of restricted give-up firms on the Exchange. Such opt in requests must be submitted to the Exchange by CMs using the necessary paperwork located [here](#).

If a CM opts into the restricted give-up firm list, the Exchange will require written authorization from that CM in order to permit another Member to give up a Restricted OCC Number.

If a CM does not opt in, that CM’s OCC number(s) may be given-up by any other Member organization on the Exchange.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange Website, markets.cboe.com, located [here](#).

Clearing Member Update

The EDGX Options Exchange and BZX Options Exchange would like to inform Members that BofA Securities, Inc. has restricted its OCC Clearing Number 733 effective May 9, 2021. As of that date, any Member not approved by BofA Securities, Inc. will no longer be able to use OCC Number 733 as a Give-Up.

More Information

Please contact Membership Services with any questions you may have regarding EDGX Options Rule 21.12 and/or BZX Options Rule 21.12 and CM restrictions.

We appreciate your business. Our trading community inspires and drives our mission of defining markets.

Membership Services

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