



Restricted Clearing Member OCC Numbers 161 and 792 on EDGX Options Exchange

Reference ID: 2020012101

Overview

Pursuant to EDGX Options (“EDGX Options” or the “Exchange”) Rule 21.12, Clearing Members (CMs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other Members by “Opting In” to the list of restricted give-up firms on the Exchange. Such opt in requests must be submitted to the Exchange by CMs using the necessary paperwork located [here](#).

If a CM opts into the restricted give-up firm list, the Exchange will require written authorization from that CM in order to permit another Member to give up a Restricted OCC Number.

If a CM does not opt in, that CM’s OCC number(s) may be given-up by any other Member organization on the Exchange.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange Website, markets.cboe.com, located [here](#).

Clearing Member Update

The Cboe EDGX Exchange, Inc would like to inform Members that BofA Securities, Inc. has restricted its OCC Clearing Numbers 161 and 792 effective April 14, 2020. As of that date, any Member not approved by BofA Securities, Inc. will no longer be able to use OCC Numbers 161 and 792 as a Give-Up.

More Information

Please contact Membership Services with any questions you may have regarding EDGX Options Rule 21.12 and CM restrictions.

We appreciate your business and remain committed to powering your potential with Cboe products, technologies and solutions.

Membership Services

312.786.7449

MembershipServices@cboe.com