



Restricted Clearing Trading Permit Holder OCC Numbers 010, 200

Reference ID: C2019102800

Overview

Pursuant to Cboe Options (“Cboe Options” or the “Exchange”) Rule 5.10, Clearing Trading Permit Holders (TPHs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other TPHs by “Opting In” to the list of restricted give-up firms on the Exchange. Such Opt In requests must be submitted to the Exchange by the clearing TPH using the necessary paperwork located [here](#).

If a Clearing TPH Opt Into the restricted give-up firm list, the Exchange will require written authorization from that Clearing TPH in order to permit another TPH to give up a Restricted OCC Number.

If a Clearing TPH does not Opt In, that Clearing TPH’s OCC number(s) may be given-up by any other TPH organization on the Exchange.

The Exchange would like to inform TPHs that Goldman Sachs & Co., LLC has restricted its OCC Clearing Number(s) 010 and 200, effective December 26, 2019. As of that date, any TPH not approved by Goldman Sachs & Co., LLC will no longer be able to use OCC Number(s) 010 and 200 as a Give-Up.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange Website, markets.cboe.com, located [here](#).

More Information

Please contact Membership Services with any questions you may have regarding Cboe Options Rule 5.10 and Clearing TPH restrictions.

We appreciate your business and remain committed to powering your potential with Cboe products, technologies and solutions.

Membership Services

312.786.7449

MembershipServices@cboe.com