![Cboe logo]

C2 Options Exchange Weekend Test Reminder: Saturday, March 17, 2018

Overview

The Cboe C2 Options Exchange integration remains on track for a **May 14, 2018** migration to Bats technology. All milestones referenced below have been achieved on target, and with the new platform go-live date quickly approaching, the Cboe team is working hard to ensure C2 customers will be ready for the migration date. To aid in your readiness and planning, we encourage C2 Trading Permit Holders ("TPHs"), vendors and market data recipients to participate in the second weekend test scheduled for **Saturday, March 17, 2018**.

IMPORTANT: Only C2 TPHs and vendors that have completed their formal certification for the new trading platform will be eligible to submit order to the new C2 production system.

TPHs that wish to schedule a formal certification must first complete sufficient unattended testing and review the [Certification Script](#) prior to requesting a certification appointment. Appointments can be scheduled by emailing their request to the Cboe Trade Desk (tradedesk@cboe.com).

Weekend Test Schedule

7:30 AM CT – C2 Options production environment available for connection
7:30 AM CT – Pre-open queuing session begins
8:30 AM CT – Regular market trading session opens
11:00 AM CT – Regular market trading session closes

OCC Clearing Information

OCC will be participating with Cboe C2 Exchange during the test of the new **C2 production trading platform** on Saturday, March 17, 2018. Customers will not be able to obtain any real-time clearing records on Saturday from the OCC, but if they wish to obtain DDS records for March 17th activity they may submit a testing request with OCC (see link below). The test trades will be executed in OCC's **EXT5** external test environment and DDS test records will have a business date of Monday, March 19.

Additionally, please be advised that the **C2 certification trading platform** will be submitting real-time clearing data to the OCC's **EXT3** external test environment Monday through Friday until Friday, May

11. Firms interested in accessing test clearing records based on C2 certification environment test activity during this time may also submit an OCC testing request.

OCC external testing requests are initiated by completing on online form with the OCC.
   ➢ The form is available on the OCC Website (www.theocc.com) from the 'Clearing & Services / Certification Testing Services' menus or directly from the following link:
   http://www.theocc.com/clearing/certification-testing/default.jsp

OCC supports a minimum 1-week lead time for all testing requests in order to confirm system and resource availability as well as to complete any pre-test verifications. Submitted testing requests will be responded to within 2-business days of receipt. The Technical Certification and Documentation Services group will then initiate the process of confirming the scope, timeline, and needed inputs/outputs for the test, including test connectivity.

Migration Timeline

Customer Milestone	Target Availability Date
Provide Registration Services with updated Firm Administrator contacts	AVAILABLE NOW
Establish connectivity to C2 certification	AVAILABLE NOW
Establish connectivity to C2 production	AVAILABLE NOW
Order logical sessions for C2 certification	AVAILABLE NOW
Confirm access to Customer Web Portal	AVAILABLE NOW
Complete C2 BOE and/or FIX certification	AVAILABLE NOW
Establish connectivity to C2 disaster recovery	AVAILABLE NOW
Update C2 production order entry and DROP session counts	AVAILABLE NOW
Update default C2 production sessions settings	AVAILABLE NOW
Participate in weekday production environment testing using test symbols	AVAILABLE NOW
Participate in weekend test opportunity	~~02/24/18~~ 03/17/18 04/14/18 05/12/18
Completion of C2 migration to new technology in NY5 (Go-Live)	05/14/18

Technical Reference Documents

Details regarding C2 functionality have been noted within the following technical specifications, FAQs and the Platform Change Matrix.

   ➢ C2 Technical Integration FAQ
   ➢ C2 Platform Change Matrix
   ➢ US Options FIX Specification
   ➢ US Options BOE Specification
   ➢ US Options Risk Management Specification
   ➢ US Options Clearing Editor Specification
   ➢ US Options Web Portal Port Controls Specification
   ➢ US Options Single Leg Opening Process

- ➢ [US Options Complex Book Process](#)
- ➢ [Secure Web API](#)
- ➢ [Cboe Symbology Reference](#)
- ➢ [US Options Multicast TOP Specification](#)
- ➢ [US Options Multicast PITCH Specification](#)
- ➢ [US Options Complex Auction Multicast PITCH Specification](#)
- ➢ [US Options Complex Multicast TOP Specification](#)
- ➢ [US Options Complex Multicast PITCH Specification](#)
- ➢ [US Connectivity Manual](#)
- ➢ [US Extranet Manual](#)

Additional Information

Please contact the Cboe Trade Desk, Cboe NOC, or your Business Development contact for support or with any questions. Additionally, you may refer to the C2 integration website for more details at http://batsintegration.cboe.com/c2.

We appreciate your continued support and will work hard every day to keep earning your business by powering your potential to stay ahead of an evolving market. As always, we are committed to our customers and to making markets better as your partner in trading.

Cboe Trade Desk
913.815.7001
tradedesk@cboe.com

Cboe Registration Services
312.786.7449
registration@cboe.com

Cboe NOC
913.815.7005
noc@cboe.com