

Bats Conformance Certification Tool Now Available

Overview

The Bats Conformance Certification tool, accessible from the Member Tools section of the Customer Web Portal, is now available to submit qualifying Certification testing to satisfy firm conformance requirements.

Summary Details

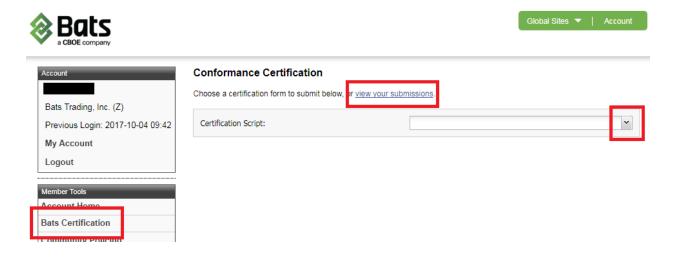
Bats requires customers to pass a certification test prior to implementing new trading protocols (FIX or BOE) or Exchange-specific features.

To accommodate this, it was mandatory for customers to schedule a date and time to test with a member of the Trade Desk. In order to streamline this process, the Bats Conformance Certification tool was created to allow customers to complete an unattended test, and submit the results to the Trade Desk for approval.

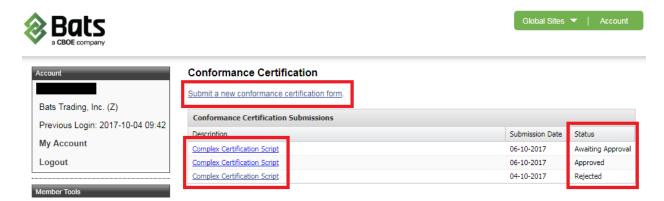
Certification Conformance Tool Features

The tool will allow users to quickly select a protocol or feature to certify from the drop-down menu. Customers currently have the ability to certify the following through the Customer Web Portal, but will soon have the ability to certify all Bats technology platform features and protocols:

- Options Complex Orders
- Futures FIX Order Entry Protocol
- Futures BOE Order Entry Protocol



Form submission will initiate a ticket with the Trade Desk, which customers can track from the <u>view your submissions</u> link. Each submission is available for review in a separate hyperlink, and the Status column will track real-time. Once the status is marked 'Approved' customers can begin using the new feature or protocol in production on the following business day.



Additional Information

For additional information regarding Conformance Certification tool functionality, please see the <u>US Customer Web Portal Specification.</u>

Firm Administrators may request access to the Bats Conformance Certification tool for new or existing users via the <u>Request Portal Account</u> tool.

Please contact the CBOE Bats Trade Desk or your <u>Director of Sales</u> with any questions.

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