Reminder: Bats to Discontinue FIX Version 1 and BOE Version 1 Support Effective September 30, 2016 and October 28, 2016

Overview

Bats BYX Exchange and BZX Exchange will discontinue support of the BOE v1 and FIX v1 order entry protocols effective Thursday, September 30, 2016.

Bats BZX Options Exchange and EDGX Options Exchange will discontinue support for BOE v1 effective Thursday, September 30, 2016. BZX Options Exchange and EDGX Options Exchange will discontinue support for FIX v1 effective Friday, October 28, 2016.

On the effective dates, Members using BOE v1 messaging will be unable to log onto their BOE ports, and firms with FIX v1 enabled ports will experience order rejects pending a successful certification and implementation of FIX v2 or BOE v2.

Members can reach out to the Bats Trade Desk to confirm what version of BOE or FIX is currently in use by their firm. FIX users may also to determine the version of their existing FIX ports via the Ports Dashboard available in the Bats Member Web Portal.

Testing Opportunities

Testing and certification in the BZX Exchange, BYX Exchange, BZX Options Exchange, and EDGX Options Exchange Certification environments is available during regular market hours. The certification test script can be found on the Support tab of the public web site for both Equities and Options. Firms only need to certify the features they will utilize in production. Contact the Bats Trade Desk to schedule your certification.

More Information

For more information, refer to the following technical specification:

- ➢ Bats US Equities BOE v2 Specification
- ➢ Bats US Equities FIX v2 Specification
- ➢ Bats US Options BOE v2 Specification
- ➢ Bats US Options FIX v2 Specification

Bats appreciates your continued business. Please call the Bats Trade Desk or your Director of Sales with any questions regarding this change.

Bats Trade Desk
913.815.7001
tradedesk@bats.com