

**IN ARBITRATION  
UNDER CHAPTER XVIII OF THE RULES  
OF THE CHICAGO BOARD OPTIONS EXCHANGE, INCORPORATED**

\_\_\_\_\_  
IN THE MATTER )

Calvin N. Sparrow )

Initiating Party )

and )

Wagner-Stott Clearing Corporation )  
d/b/a Securities Options Corp. )

Responding Party )  
\_\_\_\_\_ )

Arbitration File No. 91-M-18

**AWARD**

The captioned matter was submitted on June 26, 1991. The named parties appeared at a hearing on October 8, 1991 in Chicago, Illinois, and each had full opportunity to present arguments and evidence. The above captioned controversy involved the following issues:

Claimant alleges that on the day in question the respondent had a duty and obligation to call him at his home and inform him of an unmatched trade that existed in his account. Claimant is seeking damages in the amount of \$47,250.

The undersigned arbitrators have been fully advised by the parties and after due deliberation, award as follows:

No award rendered. Filing and hearing session fees on deposit with the Exchange are retained.

Charles B. Cox, III  
Charles B. Cox, III

10-17-91  
Date

Margaret B. Baker  
Margaret B. Baker

Oct 17, 1991  
Date

Richard R. Taylor  
Richard R. Taylor

10-17-91  
Date