

## OMB APPROVAL

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Required fields are shown with yellow backgrounds and asterisks.

Page 1 of * 6	SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 Form 19b-4	File No. * SR - 2019 - * 049 Amendment No. (req. for Amendments *) 1
Filing by Cboe Exchange, Inc. Pursuant to Rule 19b-4 under the Securities Exchange Act of 1934		
Initial * <input type="checkbox"/> Amendment * <input checked="" type="checkbox"/> Withdrawal <input type="checkbox"/>	Section 19(b)(2) * <input checked="" type="checkbox"/> Section 19(b)(3)(A) * <input type="checkbox"/> Section 19(b)(3)(B) * <input type="checkbox"/>	Rule <input type="checkbox"/> 19b-4(f)(1) <input type="checkbox"/> 19b-4(f)(4) <input type="checkbox"/> 19b-4(f)(2) <input type="checkbox"/> 19b-4(f)(5) <input type="checkbox"/> 19b-4(f)(3) <input type="checkbox"/> 19b-4(f)(6)
Pilot <input type="checkbox"/> Extension of Time Period for Commission Action * <input type="checkbox"/> Date Expires * <input type="text"/>	Notice of proposed change pursuant to the Payment, Clearing, and Settlement Act of 2010 Section 806(e)(1) * <input type="checkbox"/> Section 806(e)(2) * <input type="checkbox"/>	
Exhibit 2 Sent As Paper Document <input type="checkbox"/> Exhibit 3 Sent As Paper Document <input type="checkbox"/>		Security-Based Swap Submission pursuant to the Securities Exchange Act of 1934 Section 3C(b)(2) * <input type="checkbox"/>
<b>Description</b> Provide a brief description of the action (limit 250 characters, required when Initial is checked *). <div style="border: 1px solid black; height: 40px; margin-top: 10px;"></div>		
<b>Contact Information</b> Provide the name, telephone number, and e-mail address of the person on the staff of the self-regulatory organization prepared to respond to questions and comments on the action.		
First Name * Rebecca Last Name * Tenuta Title * Counsel E-mail * rtenuta@cboe.com Telephone * (312) 786-7068 Fax <input type="text"/>		
<b>Signature</b> Pursuant to the requirements of the Securities Exchange Act of 1934, has duly caused this filing to be signed on its behalf by the undersigned thereunto duly authorized. <div style="text-align: right;">(Title *)</div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>           Date 10/10/2019            By Rebecca Tenuta            (Name *)         </div> <div style="border: 1px solid black; padding: 5px; width: 300px;">           Counsel         </div> </div> <div style="margin-top: 10px;">           NOTE: Clicking the button at right will digitally sign and lock            this form. A digital signature is as legally binding as a physical            signature, and once signed, this form cannot be changed.         </div> <div style="text-align: center; margin-top: 10px;"> <div style="border: 1px solid black; padding: 5px; display: inline-block;">rtenuta@cboe.com</div> </div>		

SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

For complete Form 19b-4 instructions please refer to the EFFT website.

**Form 19b-4 Information \***

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The self-regulatory organization must provide all required information, presented in a clear and comprehensible manner, to enable the public to provide meaningful comment on the proposal and for the Commission to determine whether the proposal is consistent with the Act and applicable rules and regulations under the Act.

**Exhibit 1 - Notice of Proposed Rule Change \***

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 1A- Notice of Proposed Rule Change, Security-Based Swap Submission, or Advance Notice by Clearing Agencies \***

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The Notice section of this Form 19b-4 must comply with the guidelines for publication in the Federal Register as well as any requirements for electronic filing as published by the Commission (if applicable). The Office of the Federal Register (OFR) offers guidance on Federal Register publication requirements in the Federal Register Document Drafting Handbook, October 1998 Revision. For example, all references to the federal securities laws must include the corresponding cite to the United States Code in a footnote. All references to SEC rules must include the corresponding cite to the Code of Federal Regulations in a footnote. All references to Securities Exchange Act Releases must include the release number, release date, Federal Register cite, Federal Register date, and corresponding file number (e.g., SR-[SRO]-xx-xx). A material failure to comply with these guidelines will result in the proposed rule change, security-based swap submission, or advance notice being deemed not properly filed. See also Rule 0-3 under the Act (17 CFR 240.0-3)

**Exhibit 2 - Notices, Written Comments, Transcripts, Other Communications**

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Exhibit Sent As Paper Document

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Copies of notices, written comments, transcripts, other communications. If such documents cannot be filed electronically in accordance with Instruction F, they shall be filed in accordance with Instruction G.

**Exhibit 3 - Form, Report, or Questionnaire**

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Exhibit Sent As Paper Document

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Copies of any form, report, or questionnaire that the self-regulatory organization proposes to use to help implement or operate the proposed rule change, or that is referred to by the proposed rule change.

**Exhibit 4 - Marked Copies**

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The full text shall be marked, in any convenient manner, to indicate additions to and deletions from the immediately preceding filing. The purpose of Exhibit 4 is to permit the staff to identify immediately the changes made from the text of the rule with which it has been working.

**Exhibit 5 - Proposed Rule Text**

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The self-regulatory organization may choose to attach as Exhibit 5 proposed changes to rule text in place of providing it in Item I and which may otherwise be more easily readable if provided separately from Form 19b-4. Exhibit 5 shall be considered part of the proposed rule change.

**Partial Amendment**

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If the self-regulatory organization is amending only part of the text of a lengthy proposed rule change, it may, with the Commission's permission, file only those portions of the text of the proposed rule change in which changes are being made if the filing (i.e. partial amendment) is clearly understandable on its face. Such partial amendment shall be clearly identified and marked to show deletions and additions.

PARTIAL AMENDMENT

Cboe Exchange, Inc. (“Cboe Options” or the “Exchange”) submits this Amendment, constituting Amendment No. 1, to rule filing SR-CBOE-2019-049 (the “Rule Filing”), in which the Exchange proposes to make permanent certain options market rules in connection with the equity market Plan to Address Extraordinary Market Volatility (the “Limit Up-Limit Down Plan” or the “Plan”).

In connection with the Exchange’s technology migration, which was completed on October 7, 2019, the Exchange reorganized its rulebook (the “Post-Migration Rulebook”). As part of that reorganization, the Exchange relocated Rules 6.3A, 6.3B, and 6.25.01 (collectively, the “Options Pilots”) to Rules 5.21, 5.22, and 6.5.01, respectively, in its Post-Migration Rulebook, as well as updated cross-references within, accordingly.<sup>1</sup> Therefore, Amendment No. 1 is designed to reflect the rule numbering of the relocated Options Pilots in the Exhibit 5 of the Rule Filing. Amendment No. 1 also reflects the recently updated cross-reference to Rule 6.3B in Rule 6.3B, which now reads “the” Rule, and the recently updated cross-reference in Rule 6.25, which now references Rule 6.5.01, in the Exhibit 5 of the Rule Filing. Amendment No. 1 also reflects the removal of the Interpretation and Policy heading and numbering to Rule 6.3B, which, in turn, made the text under previous Rule 6.3B.01 part of the body of Rule 5.22 (previous Rule 6.3B) in the Post-Migration Rulebook. Additionally, Amendment No. 1 reflects the recent relocation of the Options Pilots by updating all references to Rule 6.3A to be Rule 5.21, Rule 6.3B (as well as Rule 6.3B.01) to

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<sup>1</sup> In recent filings, the Exchange relocated Rule 6.3A to Rule 5.21 and Rule 6.3B to Rule 5.22, and Rule 6.25 to Rule 6.5, and updated the relocated Rule numbers and cross-references within, accordingly. See Securities Exchange Act Release Nos. 87224 (October 4, 2019) (SR-CBOE-2019-081); and 87215 (October 3, 2019) (SR-CBOE-2019-071).

be Rule 5.22, and Rules 6.25 and 6.25.01 (or, Interpretation and Policy .01 to Rule 6.25) to be Rules 6.5 and 6.5.01 (or, Interpretation and Policy .01 to Rule 6.5) throughout the Rule Filing (including Form 19b-4 and Exhibit 1).

The Exchange requests accelerated approval of Amendment No. 1. The Exchange proposes no changes to substance or the framework of the Options Pilots, and merely updates the rule numbers, two cross-references, as well as an Interpretation and Policy heading and numbering (i.e., in Post-Migration Rule 5.22) to reflect the relevant Options Pilots rule numbers and structure that the Exchange already relocated to and updated within its Post-Migration Rulebook. Therefore, the Exchange does not believe a full notice and comment period is necessary, and thus believes accelerated approval is appropriate.

#### EXHIBITS

- Exhibit 4.      Marked copy of changes to the rule text proposed in an amendment compared against the version of the rule text that was initially filed.
- Exhibit 5.      Proposed rule text.

## EXHIBIT 4

Deletions set forth in the proposed rule text of original SR-CBOE-2019-049 are bracketed. Additional changes that have previously been made to the rules and are being reflected pursuant to Amendment No. 1 to SR-CBOE-2019-049 are underlined; deletions that have previously been made and are being reflected pursuant to Amendment No. 1 to SR-CBOE-2019-049 are struck-through.

\* \* \* \* \*

**Rules of Cboe Exchange, Inc.**

\* \* \* \* \*

Rule ~~6.3A~~5.21. Equity Market Plan to Address Extraordinary Market Volatility

[This Rule shall be in effect during a pilot period that expires at the close of business on October 18, 2019.]

\* \* \* \* \*

Rule ~~6.3B~~5.22. Market-wide Trading Halts Due to Extraordinary Market Volatility

The Exchange shall halt trading in all stocks and stock options whenever a market-wide trading halt commonly known as a circuit breaker is initiated in response to extraordinary market conditions.

**~~... Interpretations and Policies:~~**

~~.01~~—[This Rule shall be in effect during a pilot period that expires at the close of business on October 18, 2019. If the pilot is not either extended or approved permanently at the end of the pilot period, the prior version of the Rule ~~6.3B~~ shall be in effect.]

\* \* \* \* \*

Rule 6.25. Nullification and Adjustment of Options Transactions including Obvious Errors

\* \* \* \* \*

**~~... Interpretations and Policies:~~**

.01 Limit Up-Limit Down State.

[The following policy (Rule 6.25.01) shall be in effect during a pilot period that expires at the close of business on October 18, 2019.]

\* \* \* \* \*

## EXHIBIT 5

(additions are underlined; deletions are bracketed)

\* \* \* \* \*

**Rules of Cboe Exchange, Inc.**

\* \* \* \* \*

**Rule 5.21. Equity Market Plan to Address Extraordinary Market Volatility**

[This Rule shall be in effect during a pilot period that expires at the close of business on October 18, 2019.]

\* \* \* \* \*

**Rule 5.22. Market-wide Trading Halts Due to Extraordinary Market Volatility**

The Exchange shall halt trading in all stocks and stock options whenever a market-wide trading halt commonly known as a circuit breaker is initiated in response to extraordinary market conditions. [This Rule shall be in effect during a pilot period that expires at the close of business on October 18, 2019. If the pilot is not either extended or approved permanently at the end of the pilot period, the prior version of the Rule shall be in effect.]

\* \* \* \* \*

**Rule 6.5. Nullification and Adjustment of Options Transactions including Obvious Errors**

\* \* \* \* \*

***Interpretations and Policies***

.01 *Limit Up-Limit Down State*. [The following policy (Rule 6.5.01) shall be in effect during a pilot period that expires at the close of business on October 18, 2019.]

\* \* \* \* \*