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Regulatory Circular RG95-44

Date: May 25, 1995

To: Members
Options Managers

From: Clearing Procedure Committee

Re: Non-CBOE Hand Held Computer Interfaces

The Clearing Procedure Committee advises all members and firms developing or using a non-Exchange hand held system for trade match input to follow its required backup and recovery procedures. Any system which submits trades for matching and clearance must be able to provide, on demand, its data on a non-electronic media acceptable to the CBOE's computer system. Periodically throughout the year, staff initiates surprise disaster recovery tests which verifies the ability to submit this information. These requirements ensure that all parties in the input, matching and clearance process maintain viable backup procedures which protect the entire community in the event of a telecommunications or computer failure.

Currently, the disaster recovery system accepts personal computer diskettes, reel tape and cartridge tape. For specific formats and media requirements, please contact Hugh O'Neill at 786-7952. Any questions concerning this memo or the Committee's requirements may be directed to Patrick Fay at 786-7520.