CBOE Regulatory Circular RG16-034
C2 Regulatory Circular RG16-010

Date: **February 17, 2016**

To: CBOE and C2 Trading Permit Holders
From: Help Desk
Re: Send Word Now Smart Notification Services

This Regulatory Circular is being issued to remind CBOE and C2 Trading Permit Holders (TPHs) to sign up to receive systems information notifications from CBOE and C2 via Send Word Now.

CBOE and C2 use Send Word Now to disseminate systems information notifications and other alerts to TPHs. For example, these notices may concern systems or communications issues, trading halts or delayed openings, and trade reviews. Summary descriptions of "Major SCI Events"[1] may also be disseminated to affected market participants via Send Word Now.

TPHs are strongly encouraged to register to receive notifications via Send Word Now in order to mitigate potential issues in interfacing with CBOE's and C2's trading systems and market data services.

Additional Information:

To register for Send Word Now notifications or for questions regarding this circular, please contact the Help Desk at (866) 728-2263 or helpdesk@cboe.com.

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[1] An "SCI Event" is defined under Regulation Systems Compliance and Integrity (Reg. SCI), 17 CFR §242.1000, to mean an event at an SCI entity (including CBOE and C2) that constitutes: (1) a systems disruption; (2) a systems compliance issue; and/or (3) a systems intrusion as defined under Reg. SCI. See Securities Exchange Act Release No. 73639, 79 FR 72252 (December 5, 2014) (Regulation Systems Compliance and Integrity) (File No. S7-01-13). A "Major SCI Event" is defined under Reg. SCI, 17 CFR §242.1000, to mean an SCI Event that has had, or the SCI entity reasonably estimates would have: (1) any impact on a critical SCI system operated by or on behalf of CBOE and C2, including, but not limited to systems that directly support functionality relating to trading halts, the provision of consolidated market data or exclusively-listed securities or (2) a significant impact on the SCI entity's operations or on market participants. See id.