

Regulatory Circular RG13-115

Date: August 23, 2013

To: Trading Permit Holders
From: Market-Maker and DPM Administration
RE: CBSX Remote Market-Maker Appointments

This circular updates Regulatory Circulars RG12-112 and RG12-115

Please note that effective Monday, August 26, 2013, the Market-Maker and DPM Administration (MDA) Department will no longer allow CBSX Remote Market-Maker (RMM) appointment changes via the online appointments system (MPPI). Instead, CBSX RMMs must request appointment changes via an email or phone request to the MDA Department, which changes CBSX will consider in accordance with CBSX Rule 53.22.

CBOE intends to submit a rule filing on behalf of CBSX that will allow CBSX RMMs to select appointments via MPPI. CBSX will issue a circular to notify RMMs of the effective date of such rule filing, and upon such effective date, RMMs will again be able to maintain their appointments via MPPI. Until that rule filing is effective, however, RMMs must request appointment changes via email or phone.

Additional Information:

Please contact Allison Kile at kile@cboe.com and (312) 786-7210 to request an appointment change or for additional information.