



CBOE Regulatory Circular RG12-125  
C2 Regulatory Circular C2 RG12-043

To: CBOE, C2 and CBSX Trading Permit Holders

From: Regulatory Services Division

Date: September 13, 2012

Re: Consolidated Audit Trail Industry Call

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**Consolidated Audit Trail Industry Call**  
**September 19, 2012**  
**4:15 p. m. ET**

The national securities exchanges and FINRA (collectively the “SROs”) together invite interested industry participants to join a conference call and WebEx presentation on Wednesday, September 19, 2012 at 4:15 p.m. ET regarding SEC Rule 613 requiring the creation of a Consolidated Audit Trail (CAT).

During this call, the SROs will provide an overview of Rule 613, the steps the SROs are taking to develop an NMS CAT Plan as required in Rule 613, and how the SROs plan to solicit industry comments and feedback on key implementation issues. In addition, the SROs will announce future industry events at which interested industry participants are invited to share feedback on implementation issues.

**Call Details:**

**Time: 4:15 p.m. ET**

**Call-in Number: (800) 779-5244**

**Leader’s Name: Shelly Bohlin**

**Conference Number: 1133819**

**Participant Passcode: 6094573**

Please note that you must pre-register for the call by 3:15 p.m. ET on September 19, 2012. Participants registering after this time may experience a delay joining the call.

Please note that this event is closed to members of the media.

To register for the telephone portion of this conference:

- Go to our [My Meetings](#) Web page and click on “Web RSVP” on the bottom right corner or go to [the website](#) and input the required information.
- Enter the conference number and passcode.
  - **Conference Number:** 1133819
  - **Conference Passcode:** 6094573
  - **PIN:** (Important: Leave this area blank or enter your pin to modify an existing reservation)

- Provide your information for the event leader and then click submit.

To ensure that you are not held up in the conference call queue as a result of the high call volume, please call in at least five minutes early.

To access the WebEx presentation on the day of the call, please follow the instructions below:

- Go to the [CAT NMS Plan Industry Call](#) Web page
- Enter the meeting password: CAT
- Click “Join”

**Note:** When accessing the WebEx application, please follow the download instructions if prompted.

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Any questions regarding this circular may be directed to the Regulatory Interpretations and Guidance team by telephone at (312) 786-8141 or by email at [RegInterps@cboe.com](mailto:RegInterps@cboe.com).