



Regulatory Circular RG11-137

To: Trading Permit Holders

From: Regulatory Services Division

Date: November 17, 2011

Re: Clarification Regarding Limited Extension to Deadline to Comply with Registration and Qualification Requirements

CBOE has submitted a rule filing clarifying that the limited extension to the November 5, 2011 deadline to comply with the registration and qualification requirements pursuant to Rule 3.6A also applies to those individuals that failed the examination on November 5, 2011.¹ The rule filing may be accessed at: <http://www.cboe.com/publish/RuleFilingsSEC/SR-CBOE-2011-110.pdf>.

In order to qualify for the limited extension, an individual (or associated Trading Permit Holder) must demonstrate that (i) the individual failed the required qualification examination on or prior to November 5, 2011; and (ii) that the individual attempted to take the qualification examination again within thirty days following the date that WebCRD permits the individual to reschedule the examination after the initial failure.

Questions regarding this Regulatory Circular may be directed to the Regulatory Division's Regulatory Interpretations and Guidance team either **by telephone at (312) 786-8141** or **by e-mail at RegInterps@cboe.com**.

¹ For additional information regarding the limited extension, see [Regulatory Circular RG11-131](#) and [SR-CBOE-2011-106](#).