



Regulatory Circular 20-038

Date: June 1, 2020

Exchanges: Cboe Options and C2 Options

Markets: Options

To: Trading Permit Holders

Re: Extension of Time for Certain Filings to June 30, 2020

Updates Regulatory Circulars 20-037

This circular is to confirm that Cboe Exchange, Inc. (“Cboe Options”) and Cboe C2 Exchange, Inc. (“C2 Options,” and collectively the “Exchanges”) are extending the June 1, 2020 deadlines for the filing requirements described below. **The new filing deadlines for the following requirements will be June 30, 2020.**

Annual Supervision-Related Filings Pursuant to Exchange Rules 8.16 and 9.2

The Exchanges are extending the filing requirements for written reports pursuant to Cboe Options Rules 8.16(g) and 9.2(g), which are incorporated by reference in Chapters 5 and 9, respectively, of the C2 Options Rulebook to June 30, 2020. The Exchanges are similarly extending the deadline for submission on the 9.2(g) report to control persons pursuant to Cboe Options Rule 9.2(h) to June 30, 2020.

For more information regarding the filing requirements please see Cboe Options Rules 8.16 and 9.2, as well as [Cboe Options Regulatory Circular 20-003](#).

Anti-Money Laundering Compliance Program Filing Requirements

The Exchanges are extending filing requirements for Trading Permit Holders required to submit information regarding their anti-money laundering compliance programs as described in [Cboe Options Regulatory Circular 20-007](#) to June 30, 2020.

Additional Information

Please contact Regulatory Interpretations at reginterps@cboe.com or 312.786.8141 for additional information.