



Regulatory Circular 20-022

Date: March 25, 2020

Exchanges: Cboe Options and C2 Options

Markets: Options

To: Trading Permit Holders

Re: Extension of Time for Certain Filings Currently Due April 1, 2020 ***UPDATED***

Updates Regulatory Circulars 20-003 and 20-007

Subject to filing of a rule change with the SEC, Cboe Exchange, Inc. (“Cboe Options”) and Cboe C2 Exchange, Inc. (“C2 Options,” and collectively the “Exchanges”) are extending the April 1, 2020 deadlines for the filing requirements described below. **The Exchanges anticipate the new filing deadlines for the following requirements will be June 1, 2020.** The Exchanges will issue another Regulatory Circular when the required filing is completed.

Annual Supervision-Related Filings Pursuant to Exchange Rules 8.16 and 9.2

The Exchanges plan to extend filing requirements for written reports pursuant to Cboe Options Rules 8.16(g) and 9.2(g), which are incorporated by reference in Chapters 5 and 9, respectively, of the C2 Options Rulebook to June 1, 2020. The Exchanges are similarly planning to extend the deadline for submission on the 9.2(g) report to control persons pursuant to Cboe Options Rule 9.2(h) to June 1, 2020.

For more information regarding the filing requirements please see Cboe Options Rules 8.16 and 9.2 as well as [Cboe Options Regulatory Circular 20-003](#).

Anti-Money Laundering Compliance Program Filing Requirements

The Exchanges plan to extend filing requirements for Trading Permit Holders required to submit information regarding their anti-money laundering compliance programs as described in [Cboe Options Regulatory Circular 20-007 to June 1, 2020](#).

Additional Information

Please contact Regulatory Interpretations at reginterps@cboe.com or 312.786.8141 for additional information.