



## **BATS GLOBAL MARKETS SUCCESSFULLY OPERATES U.S. BUSINESSES FROM LOCAL DISASTER RECOVERY SITE AS PART OF BUSINESS CONTINUITY PLANNING PROCESS**

*Company Headquarters Taken Completely Offline for Test of Business Continuity Plan on September 17<sup>th</sup>*

KANSAS CITY – September 22, 2015 – BATS Global Markets (BATS), a leading operator of exchanges and services for financial markets globally, today announced the successful and total operation of its U.S. markets from its Kansas City-area disaster recovery (DR) site on Thursday, September 17<sup>th</sup> as part of its Business Continuity Planning (BCP) process.

Twice each year, BATS executes this critical phase of its BCP process by disconnecting the company's global headquarters from all outside network access for the day, mirroring what could happen in a disaster situation. All of the 126 employees based at BATS' global headquarters in Kansas City either reported to the DR site and conducted their daily routines from the secure and remote location or worked remotely. The BATS offices in New York, Chicago, London and Singapore continued normal operations.

“One of our top priorities and responsibilities as a global exchange operator is business continuity planning and, more importantly, the testing of those plans. Last Thursday we successfully operated all five of our U.S. markets from our local DR site in a seamless manner, with no disruption in service or performance for our customers,” said Chris Isaacson, Executive Vice President, Global Chief Information Officer at BATS Global Markets. “Redundancy of our infrastructure is critical to our business and customers and is something that we take very seriously.”

Last Thursday, the four BATS U.S. equities markets handled 1.9 billion shares, which totaled 23.5% of all U.S. equities trading. The BATS BZX Options market handled 2.5 million contracts, which totaled 11.1% of all U.S. options market share. Thursday, September 17<sup>th</sup> was a particularly heavy volume day given that the Federal Reserve's Federal Open Market Committee (FOMC) released its statement from its September meeting.

In addition to last Thursday's semi-annual BCP test, BATS also tests its local Kansas City DR site each month. For one full day monthly since 2008, the company's Operations, Technology, Regulatory and Surveillance teams in Kansas City have operated from the local DR site, with the primary headquarters remaining online.

In addition to the local Kansas City-area DR site for the global headquarters, BATS maintains a DR site in Chicago that serves as a backup for its exchange technology infrastructure that is located in Secaucus, N.J.

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### **About BATS Global Markets, Inc.**

BATS Global Markets, Inc., a leading global operator of exchanges and services for financial markets, is committed to Making Markets Better for traders, investors and issuers. In Europe, BATS operates the largest pan-European equities exchange, offering trading and listing services across 15 major European markets, as well as BXTR, the continent's largest trade reporting facility. In the U.S., BATS operates four stock exchanges – BZX, BYX, EDGX and EDGA – and regularly ranks as the top market for ETF and retail-driven liquidity. The company is a listing venue for ETFs and other structured products, also operates a U.S. equity options market, BATS BZX Options, and is launching BATS EDGX Options later in 2015. Globally, BATS serves the foreign exchange (FX) market, the world's largest asset class, with Hotspot, a leading institutional spot foreign exchange market. With its world-class technology and relentless customer focus, BATS strives to bring efficiency, transparency and fairness to market participants. The company is headquartered in Kansas City with offices in New York, London, Chicago and Singapore. Further information on BATS can be found at [www.bats.com](http://www.bats.com) and by following us on Twitter [@BATSGlobal](https://twitter.com/BATSGlobal).

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