



TRADE REPORTING APPLICATION FORM

Please complete all of the applicable fields in this Trade Reporting Application Form. You will be notified by Cboe Europe Equities once your Trade Reporting Application Form has been processed. Recipient can choose to apply to Cboe Europe Limited (“**Cboe UK**”) or Cboe Europe B.V. (“**Cboe EU**”) or both (collectively “**Cboe**”).

If Recipient has applied to the Cboe EU venue, this Agreement shall be conditional upon (i) the regulatory authorisations being granted to Cboe EU to provide its services and (ii) Cboe EU confirms that it will launch the operations.

This Trade Reporting Application Form is for non-Trading Participants only.

(tick the appropriate boxes, as applicable)

Recipient Information

Table 1	Recipient Details
Name of Legal Entity	
Registered Address	
Billing Address (if different)	
Legal Entity Identifier (LEI)	
Affiliates	<div style="display: flex; justify-content: space-between; align-items: center;"> Yes* <input type="checkbox"/> No <input type="checkbox"/> * If Yes, complete </div> Table 5
Is Entity regulated?	<div style="display: flex; justify-content: space-between; align-items: center;"> Yes <input type="checkbox"/> No <input type="checkbox"/> </div>
Home regulator	

Table 2	Services
The following Services are made available by Cboe for use subject to the Agreement. Please indicate in this table which Services are required and the Commencement Date for each Service.	
Cboe UK venue	

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Service	Description	Required (tick if required)	Commencement Date (dd/mm/yy)
APA	Reporting of OTC trade reports under applicable legislation	<input type="checkbox"/>	
SI Quoting	Publication of quotes submitted by a Systematic Internaliser	<input type="checkbox"/>	
MTF Reporting	Reporting of transactions undertaken in a Multilateral Trading Facility	<input type="checkbox"/>	
Cboe EU venue			
Service	Description	Required (tick if required)	Commencement Date (dd/mm/yy)
APA	Reporting of OTC trade reports under MiFID II	<input type="checkbox"/>	
SI Quoting	Publication of quotes submitted by a Systematic Internaliser	<input type="checkbox"/>	
MTF Reporting	Reporting of transactions undertaken in a Multilateral Trading Facility	<input type="checkbox"/>	

Table 3	Assisting Broker(s)
<p>If you have selected the APA Service in table 2 above, and require one or more Brokers under the Assisted Reporting model, please indicate below. Cboe will confirm with any such Brokers whether they can support your reporting. If you need to list more Brokers please complete in a separate sheet and attach.</p>	

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Table 4	Recipient Contacts	
	Main Contact	Secondary Contact
For Notices Purposes		
Full Name:		
Job Title:		
Phone:		
Email:		
Compliance		
Full Name:		
Job Title:		
Phone:		
Email:		
Operations		
Full Name:		
Job Title:		
Phone:		
Email:		
Billing/Payment		
Full Name:		

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Job Title:		
Phone:		
Email:		

Affiliates

If you wish Affiliates to have access to the Services, please complete Table 5, specifying whether the Affiliates wish to have access to Cboe UK, Cboe EU or both. You may regard changes to this Table as accepted by Cboe unless Cboe notifies you of an objection within thirty (30) days of your notification of the change. All proposed changes to Table 5 are subject to prior written approval of Cboe.

Table 5		Affiliates		
Cboe UK/ Cboe EU venue	Addition/ Deletion	Date	Name	Registered Address

The Recipient hereby represents and acknowledges that is has reviewed the Trade Reporting Standard Terms & Conditions, in force from time to time, which are available on the <http://markets.cboe.com> website, and agrees that this Trade Reporting Application Form shall be governed by such Trade Reporting Standard Terms & Conditions and form a part of the Trade Reporting Agreement between the Parties. Unless otherwise provided herein, defined terms in this Trade Reporting Application Form shall have the same meaning ascribed to them in the Trade Reporting Standard Terms & Conditions.

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Signed on behalf of Recipient:

By (printed): _____

Signature: _____

Title: _____

Date: _____

Please return the completed Trade Reporting Application Form, duly executed, to:

Cboe Equities Europe
The Monument Building, 5th Floor
11 Monument Street
London EC3R 8AF

or **Email:** SalesEurope@bats.com

For any enquiries please contact SalesEurope@bats.com or + 44 20 7 012 8906.