



Admission to Trading of Securities

Application Form

An application form for submission of a new issue will be deemed provisional until the prospectus relating to the securities to be admitted to trading has been approved by the UKLA for listings on Cboe UK or an EEA competent authority for listings on Cboe NL and published. The form must be received no later than 10 business days prior to the desired date of admission.

The application form and all required documentation should be submitted electronically to IssuerServicesEurope@cboe.com.

Cboe venue to which the securities will be listed: **Cboe UK** ☐ **Cboe NL** ☐

Section 1 - Information on the Issuer

All applicants should complete this section

Registered Name:	
Trading Name (if different):	
Registered Office:	
Country of incorporation:	
Registration number:	
VAT number:	
Website address:	
Primary contacts: <ul style="list-style-type: none">- <i>full name(s)</i>- <i>telephone(s)</i>- <i>email(s)</i>	

Section 2 – Securities to be admitted to trading

All applicants should complete this section

Description and number of securities	
Type of issue	<input type="checkbox"/> ETF <input type="checkbox"/> ETC <input type="checkbox"/> ETN
Hearing date requested	
Scheduled date of admission to trading (indicative, not binding for Cboe Europe or the Issuer)	

Cboe Europe Limited is a Recognised Investment Exchange regulated by the Financial Conduct Authority and is a company registered in England and Wales with Company Number 6547680 and registered office at The Monument Building, 11 Monument Street, London EC3R 8AF. Cboe Europe B.V. is a Regulated Market supervised by the Netherlands Authority for the Financial Markets, and is a company registered in the Netherlands with registered company number 72273968 and registered office is located at Gustav Mahlerlaan 1212, 1081 LA Amsterdam, The Netherlands. Cboe Europe Limited and Cboe Europe B.V. are wholly-owned subsidiaries of Cboe Holdings, Inc.



Address where the admission document will be available	
Country of incorporation	
Home competent listing authority	
Expected size of the offer	
Based on the information currently available, the market capitalisation at the time of admission to trading of the Issuer will be:	<i>[indicate approximate range of market capitalisation]</i>
Nominal value of the securities to be admitted to trading	<i>[please indicate the nominal value with the relevant currency as indicated in the articles of association of the Issuer]</i>
Trading currency	<input type="checkbox"/> CHF <input type="checkbox"/> EUR <input type="checkbox"/> GBP <input type="checkbox"/> USD <input type="checkbox"/> Other, please specify
ISIN code/s	
Desired trading symbol: [serial of 2 (minimum) to 5(maximum) letters and/or figures (no spaces and special symbols)]:	
Default place of settlement (system)	
Settlement location	

Multiple Listings: Where applying for multiple listings, please attach relevant information for all listings in an appendix

Section 3 – new issue

This section is to be completed if the new issue is currently not listed anywhere in the EEA for a listing on Cboe NL or UK for a listing on Cboe UK

Will the securities be admitted to the FCA's official list?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Will the prospectus be included in the register prospectuses by the AFM?	<input type="checkbox"/> Yes <input type="checkbox"/> No

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Section 4 – cross listing

This section is to be completed if the listing (ISIN) is already listed elsewhere in the EEA or UK

Is the ISIN already listed in the EEA?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Is the ISIN already listed in the UK?	<input type="checkbox"/> Yes <input type="checkbox"/> No
The securities to be admitted to trading are identical in all respects: (i) with each other (ii) with an existing class of security	(i) <input type="checkbox"/> Yes <input type="checkbox"/> No (ii) <input type="checkbox"/> Yes <input type="checkbox"/> No
If you answered no to either question (i) or (ii) above, how do the securities differ and when will they become identical?	

Advisors, Brokers and Market Makers

All applicants should complete this section

Corporate Broker:	
Liquidity Provider/s:	
Financial PR Advisors:	

Declaration

The issuer hereby confirms that they have read and understood its obligations under Cboe Europe Rule Book and the Participant Manual (the “Rules”) with regard to issuers having their securities admitted to trade on the Cboe Europe Regulated Markets. This applies for securities indicated in this Application Form to be admitted to trading on the Cboe Europe Regulated Markets.

The content of this form and the submission of this application are subject to the Rules.

The issuer hereby declares that:

- (i) for new applicants: the Issuer is in compliance with the requirements of the securities regulator/s supervising such issuer and/or stock exchange/s where the Issuer’s securities are issued;
- (ii) all the conditions for admission to trading under the Rules which are required to be fulfilled prior to application have been fulfilled in relation to the Issuer and the securities to be admitted to trading, including payment of relevant listing and annual fees;
- (iii) all the documents and information required to be included in the application have been or will be supplied in accordance with the Rules, have been or will be complied with; and
- (iv) the Issuer will continue to comply with all the provisions of the Rules that apply to issuers having their securities admitted to trading on the Cboe Europe Regulated Markets.

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Name of issuer:

Signed by a duly authorised officer of the issuer

Signature

Print name:

Role:

Date:

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