



## TRADE REPORTING APPLICATION FORM

Please complete all of the applicable fields in this Trade Reporting Application Form. You will be notified by Cboe Europe Limited, trading under the name of Cboe Europe Equities (“Cboe”) once your Trade Reporting Application Form has been processed.

This Trade Reporting Application Form is for non-Trading Participants only.

*(tick the appropriate boxes, as applicable)*

### Recipient Information

Table 1	Recipient Details		
Name of Legal Entity			
Registered Address			
Billing Address (if different)			
Legal Entity Identifier (LEI)			
Affiliates	Yes* <input type="checkbox"/>	No <input type="checkbox"/>	* If Yes, complete Table 5
Is Entity regulated?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Home regulator			

Table 2	Services		
The following Services are made available by Cboe for use subject to the Agreement. Please indicate in this table which Services are required and the Commencement Date for each Service.			
Service	Description	Required (tick if required)	Commencement Date (dd/mm/yy)
APA	Reporting of OTC trade reports under MiFID II	<input type="checkbox"/>	
SI Quoting	Publication of quotes submitted by a Systematic Internaliser	<input type="checkbox"/>	
MTF Reporting	Reporting of transactions undertaken in a Multilateral Trading Facility	<input type="checkbox"/>	



## TRADE REPORTING APPLICATION FORM

Table 4	Recipient Contacts	
	Main Contact	Secondary Contact
<b>For Notices Purposes</b>		
<b>Full Name:</b>		
<b>Job Title:</b>		
<b>Phone:</b>		
<b>Email:</b>		
<b>Compliance</b>		
<b>Full Name:</b>		
<b>Job Title:</b>		
<b>Phone:</b>		
<b>Email:</b>		
<b>Operations</b>		
<b>Full Name:</b>		
<b>Job Title:</b>		
<b>Phone:</b>		
<b>Email:</b>		
<b>Billing/Payment</b>		
<b>Full Name:</b>		
<b>Job Title:</b>		
<b>Phone:</b>		
<b>Email:</b>		

## TRADE REPORTING APPLICATION FORM

**Affiliates**

If you wish Affiliates to have access to the Services, please complete Table 5. You may regard changes to this Table as accepted by Cboe unless Cboe notifies you of an objection within thirty (30) days of your notification of the change. All proposed changes to Table 5 are subject to prior written approval of Cboe.

Table 5		Affiliates	
Addition/ Deletion	Date	Name	Registered Address

The Recipient hereby represents and acknowledges that is has reviewed the Trade Reporting Standard Terms & Conditions, in force from time to time, which are available on the <http://markets.cboe.com> website, and agrees that this Trade Reporting Application Form shall be governed by such Trade Reporting Standard Terms & Conditions and form a part of the Trade Reporting Agreement between the Parties. Unless otherwise provided herein, defined terms in this Trade Reporting Application Form shall have the same meaning ascribed to them in the Trade Reporting Standard Terms & Conditions.

**Signed on behalf of Recipient:**

By (printed): \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Signed on behalf of Cboe Europe Limited:**

By (printed): \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

**Please return the completed Trade Reporting Application Form, duly executed, to:**

Cboe Europe Limited  
The Monument Building, 5<sup>th</sup> Floor  
11 Monument Street  
London EC3R 8AF

or **Email:** [salesEU@bats.com](mailto:salesEU@bats.com)

For any enquiries please contact [salesEU@bats.com](mailto:salesEU@bats.com) or + 44 20 7 012 8906.