



### Competitive Liquidity Provider Program Addendum

A Participant wishing to apply to the Competitive Liquidity Provider (“CLP”) program operated by BATS Chi-X Europe (“BATS”) should complete and execute this Addendum.

#### Participant Information

Company Name	
Address of Participant	
Participant’s or Sponsored Participant’s Bank Code (this uniquely identifies the trading firm which could be using a Participant’s Sponsored Access service)	
Date of execution of the Participant Agreement	
Contact(s) to whom daily reports will be emailed	Name: Email address:

The securities, including their UMTF code, for which this Application is made are listed below (\*).

(\*) See the [Schedule of BATS-Listed ETF/ETPs](#) for the full list of securities operating under, or due to operate under, the CLP program, their UMTF codes and each program’s specific details.

For more information about the CLP program, please visit <http://www.batstrading.co.uk/etfs/clp>

#### 1. ADDITIONAL TERMS

This Addendum is supplemental to and forms part of an agreement, as amended from time to time, between BATS and the Participant referred to in the table above (“Participant Agreement”). By executing this Addendum, the Participant:

- (i) agrees to comply with the CLP requirements, as set out in the Rules and the Participant Manual. The Participant agrees and acknowledges that BATS may modify the requirements of the CLP by providing electronic notice to the Participant and posting any amended requirements on the Website;
- (ii) accepts that BATS will monitor the Participant’s activities under the CLP and may determine that the Participant has not satisfied the requirements of the CLP. If such determination is made, the Participant acknowledges that BATS may suspend or terminate the Participant’s membership to the CLP; and
- (iii) agrees that notwithstanding any language to the contrary in the Participant Agreement between BATS and the Participant, including, but not limited to, the provisions on “Non-disclosure and publicity” of the Participant Agreement, BATS may identify the Participant as a member of the CLP on the Website and in other media. The Participant accepts that such disclosure of the Participant’s identity may include reference to the specific securities for which the Participant has selected under the CLP.

**2. GENERAL**

The terms and conditions of the Participant Agreement shall remain in full force and effect as amended by this Addendum. This Addendum shall not otherwise limit or reduce the Participant's duties and/or obligations or responsibilities under the Participant Agreement, including, without limit, the Participant's obligations to pay fees in connection with the access to and use of the Services by the Participant. In the event of any conflict between the provisions of this Addendum, the BATS Rule Book, the Participant Agreement, the BATS Participant Manual, and any Sponsored Access Addendum and other addenda to the Participant Agreement (where relevant) and subject to clause 1(iii) of this Addendum, the following order of precedence shall apply:

- (i) the BATS Rule Book;
- (ii) the Participant Agreement;
- (iii) this Addendum;
- (iv) any Sponsored Access Addendum;
- (v) any other addenda to the Participant Agreement which will rank *pari passu*, unless specifically agreed otherwise; and
- (vi) the BATS Participant Manual

Once completed, please return this Addendum, via post or email, to:

BATS Trading Limited  
Email: [ParticipantServicesEurope@bat.com](mailto:ParticipantServicesEurope@bat.com)  
6th floor,  
10 Lower Thames Street  
London EC3R 6AF  
UK

Duly authorised for and behalf of the Participant:

By (printed): \_\_\_\_\_  
Signature: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Accepted by and on behalf of BATS Trading Limited:

By (printed): \_\_\_\_\_  
Signature: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_