

Cboe Exchange, Inc.
Brazilian Trading Permit Holder
Supplemental Application Form

The business organization referenced below ("Organization") represents and warrants to Cboe Exchange, Inc. ("Cboe Options") that as of the date of the Organization's application for a Trading Permit and on each day thereafter until the Organization's Trading Permit is terminated:

1. The Organization is classified as either one of the following:
 - ☐ A professional investor, which encompasses for this purpose (i) financial institutions and other institutions authorized to operate by the Central Bank of Brazil; (ii) insurance companies; (iii) pension funds; (iv) individuals and companies with financial investments in excess of 10 million Brazilian Real which declare their condition as professional investor through the execution of a representation (in the form of Exhibit A to Securities and Exchange Commission of Brazil (CVM) Resolution No. 30/21); (v) investment funds; (vi) investment clubs, provided their portfolios are managed by a portfolio manager authorized by CVM; (vii) investment agents (*assessores de investimento*), portfolio managers, securities analysts and securities consultants authorized by CVM, with respect to their own investments; (viii) non-resident investors; and (ix) patrimonial funds; or
 - ☐ A qualified investor, which encompasses for this purpose: (i) individuals and companies with financial investments in excess of 1 million Brazilian Real which declare their condition as qualified investor through the execution of a representation (in the form of Exhibit B to CVM Resolution No. 30/21); (ii) individuals who have been approved in technical exams or hold certifications granted by the CVM as a requirements for registration as investment agents (*assessores de investimento*), portfolio managers, securities analysts, and securities consultants; and (iii) investment clubs, provided their portfolios are managed by one or more quota-holder that is a qualified investor.
2. The Organization represents and warrants that it has provided each relevant third party with sufficient information on the collection, use, and disclosure of personal data by Cboe Options and/or its affiliates, service providers, and agents for all purposes: (a) to fulfill reporting obligations, (b) to execute rights pursuant to Cboe Options Rules, (c) to comply with applicable regulatory requirements, and (d) to facilitate transactions on Cboe Options.
3. The Organization represents and warrants that it has informed and whenever necessary obtained the prior written consent and authorization of any third-party individuals, including customers, employees, and executive officers, for and on behalf of Cboe Options and/or its affiliates, service providers, and agents to collect, use, and disclose the information contained in this application for all purposes listed above.

Name of Organization

Signature of Authorized Signatory

Name of Authorized Signatory

Title of Authorized Signatory

Date