

Cboe Exchange, Inc.
Australian Trading Permit Holder
Supplemental Application Form

The business organization referenced below ("Organization") represents and warrants to Cboe Exchange, Inc. ("Cboe Options") that as of the date of the Organization's application for a Trading Permit and on each day thereafter until the Organization's Trading Permit is terminated:

- ☐ the Organization:
 - (a) holds an Australian financial services license that permits trade in products of the same kind as those that can be dealt with on Cboe Options; or
 - (b) is exempt under Australian law from the requirement to hold an Australian financial services license in relation to participation on Cboe Options.
- ☐ in respect of any individual's personal information that the Organization will be disclosing to Cboe Options, the Organization will:
 - (a) inform the individual that their personal information will be provided to the Cboe Options;
 - (b) provide the individual a copy of Cboe Options' privacy policy found at <https://markets.cboe.com/privacy>; and
 - (c) obtain the consent of the individual for their personal information to be disclosed to Cboe Options,

prior to disclosing such personal information to Cboe Options. For these purposes, "personal information" and "individual" have the definitions prescribed in the Australian Privacy Act 1988.

The Organization further agrees that:

If the Organization acts as a broker and desires to send orders to Cboe Options on behalf of customers:

- ☐ Before the Organization accepts the first order from a customer in Australia to transact in a Cboe Options product, the Organization will disclose to the customer the significant differences between trading derivatives on Cboe Options and trading derivatives on an Australian-based market. The matters that must be disclosed include, but are not limited to the following:
 - (a) Cboe Options' principal place of business is located in the United States of America;
 - (b) Cboe Options is regulated primarily under the regulatory regime of the U.S. Securities and Exchange Commission (SEC) located in United States of America;
 - (c) the rights and remedies of, and compensation arrangements for, investors who acquire products offered on Cboe Options may differ from the rights and remedies of, and compensation arrangements for, investors who acquire products offered on an Australian-based market; and

- (d) Australian investors who acquire products offered on Cboe Options may be subject to the effects of changes in currency exchange rates.

The Organization will notify Cboe Options as soon as practicable if:

- ☐ the Organization's Australian financial services license is suspended or cancelled; or
- ☐ the Organization's permission to trade in products dealt with on Cboe Options is suspended or cancelled.

Name of Organization

Signature of Authorized Signatory

Name of Authorized Signatory

Title of Authorized Signatory

Date