



US Options Product Ranking and Selection Manual

Version 1.0.1

April 21, 2020

Contents

1	Introduction	3
1.1	Overview	3
1.2	Process Overview	3
2	Ranking and Selecting Products.....	3
3	Additional Information	7

1 Introduction

1.1 Overview

The Product Ranking and Selection tool in the Cboe Customer Web Portal enables Designated Primary Market Makers (DPM) for the Cboe Options ("C1") Exchange and Market Makers (MM) for the EDGX Options ("EDGX") Exchange to select and rank new products and existing product re-allocations.

1.2 Process Overview

When new products and existing product re-allocations are defined, an email is sent from Cboe to the designated DPM and MM firm contacts instructing recipients to log into the Customer Web Portal and complete the selection and ranking of available products within the defined time frame.

2 Ranking and Selecting Products

You can access the Product Ranking and Selection tool in the **Cboe Exchange Tools** section of the Customer Web Portal.



CBOE EXCHANGE TOOLS

Once you select the desired exchange, all the underlying products along with relevant market information and the timeline available for selection, allocation and trading are displayed.

US
US Options Product Ranking and Selection Manual (Version 1.0.1)



Global Sites | Account

ACCOUNT

Bats Trading, Inc. (2)
Previous Login: 2019-12-05 11:00

My Account
Account Home
Logout

Contact Your Account Admin:
-- select an option --

MEMBER TOOLS

- Certification Tool
- Daily Corporate Action Reports
- Daily Distribution Reports
- Daily Listed Securities Reports
- Data Product Declaration
- Data Products
- Frequent Trader Id Registration
- Latency Stats
- Logical Port Request
- Market Data Peak Stats
- Physical Connection Request
- Logical Ports
- Reimbursement Request
- User Management
- Options Listing Request

CBOE EXCHANGE TOOLS

- Block / ECRP Reporting ✓
- Clearing Edit Deltas ✓
- Clearing Editor ✓
- Current Activity ✓
- Invoices and Files ✓
- Liquidity Management Provider ✓
- Market Maker ✓
- Marketing Fee Distribution ✓
- Operator (OEO) Id Manager ✓
- Order Cancel ✓

Product ranking and selection (Cboe) Help

Use this application to inform the products you are interested in and rank them in your preferred order. Organize them by selecting a ranking to reflect your interest. Submit selection

SELECTION AND RANKING FINAL ALLOCATION TRADING

WINDOW START DATE AND TIME WINDOW END DATE AND TIME ANTICIPATED START DATE

July 12, 2019 7:00 AM July 13, 2019 3:00 PM July 28, 2019

Not interested

Symbol	BATS	C2	Cboe	EDGX	Price	Year Low	Year High	My ranking	
ACA					26.49	21.00	34.92	No	
Arcosa Inc.									
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.	123,456.87	125,458.21	505,236.59	196,147	48.77M
ALLO					31.62	21.67	35.55	No	
Allogene Therapeutics Inc.									
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.	372,742.34	430,240.81	319,406.31	916,877	121.48M
APHA					7.94	6.59	19.87	No	
Aphria Inc.									
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.	123,456.87	125,458.21	505,236.59	196,147	249.75M
EVOP					26.09	19.00	30.45	No	
Evo Payments Inc.									
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.	123,456.87	125,458.21	505,236.59	196,147	25.38M
PLAN					26.18	20.37	27.30	No	
Anaplan Inc.									
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.	N/A	N/A	505,236.59	196,147	123.97M

At the top of the display, the timeline indicates the start and end times during which users can select and rank products. Once the "Window end date and time" has passed, users will no longer be able to submit selections. By default, all products are displayed with no ranking selected and with the "Not interested" status. When products have different dates, the timeline displays a "Multiple dates" value for the anticipated start date and the respective individual dates are displayed with each product in the list.

To rank and select products in the Product Ranking and Selection tool, complete the following steps.

1. On the far right of the product listing, select the "My Ranking" drop-down menu and assign a numerical value to the selected product.

Logical Ports Reimbursement Request User Management Options Listing Request <hr/> CBOE EXCHANGE TOOLS Block / ECRP Reporting Clearing Edit Deltas Clearing Editor Current Activity Invoices and Files Liquidity Management Provider Market Maker Marketing Fee Distribution Operator (OEO) Id Manager	6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
	372,742.34	430,240.81	319,406.31	916,877	121.48M		
	APHA BATS C2 Cboe EDGX Aphria Inc.						My ranking
	Price 7.94 Year Low 6.59 Year High 19.87						No
	6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
	123,456.87	125,458.21	505,236.59	196,147	249.75M		
	EVOP BATS C2 Cboe EDGX Evo Payments Inc.						
	Price 26.09 Year Low 19.00 Year High 30.45						1
	6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
	123,456.87	125,458.21	505,236.59	196,147	25.38M		
PLAN BATS C2 Cboe EDGX Anaplan Inc.						My ranking	
Price 26.18 Year Low 20.37 Year High 27.30						No	
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.			
N/A	N/A	505,236.59	196,147	123.97M			

Result: As values are assigned, items in the list are repositioned according to rank under the "Preferred" heading.

ACCOUNT

Bats Trading, Inc. (Z)
Previous Login: 2019-12-05 11:00

My Account
Account Home
Logout

Contact Your Account Admin:
-- select an option --

MEMBER TOOLS

Certification Tool

Daily Corporate Action Reports

Daily Distribution Reports

Daily Listed Securities Reports

Data Product Declaration

Data Products

Frequent Trader Id Registration

Latency Stats

Logical Port Request

Market Data Peak Stats

Physical Connection Request

Logical Ports

Reimbursement Request

User Management

Options Listing Request

CBOE EXCHANGE TOOLS

Block / ECRP Reporting

Product ranking and selection (Cboe) Help

Use this application to inform the products you are interested in and rank them in your preferred order. Organize them by selecting a ranking to reflect your interest. Submit selection

SELECTION AND RANKING FINAL ALLOCATION TRADING

WINDOW START DATE AND TIME WINDOW END DATE AND TIME ANTICIPATED START DATE

July 12, 2019 7:00 AM July 13, 2019 3:00 PM July 28, 2019

Preferred

ALLO BATS C2 Cboe EDGX Allogene Therapeutics Inc.						My ranking
Price 31.62 Year Low 21.67 Year High 35.55						1
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
372,742.34	430,240.81	319,406.31	916,877	121.48M		
APHA BATS C2 Cboe EDGX Aphria Inc.						My ranking
Price 7.94 Year Low 6.59 Year High 19.87						4
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
123,456.87	125,458.21	505,236.59	196,147	249.75M		

Not interested

ACA BATS C2 Cboe EDGX Arcosa Inc.						My ranking
Price 26.49 Year Low 21.00 Year High 34.92						No
6 MO ADV	3 MO ADV	10 DAY ADV	Open Interest	Shares Out.		
123,456.87	125,458.21	505,236.59	196,147	48.77M		

US Options Product Ranking and Selection Manual (Version 1.0.1)

- When applicable you may also select the MMID and EFID associated with a product when a firm has more than one MMID or EFID .

Global Sites | Account

Product ranking and selection (Cboe) Help

Use this application to inform the products you are interested in and rank them in your preferred order. Organize them by selecting a ranking to reflect your interest. Submit selection

WINDOW START DATE AND TIME

July 12, 2019 7:00 AM

WINDOW END DATE AND TIME

July 13, 2019 3:00 PM

ANTICIPATED START DATE

Multiple dates

Not interested

ACA	<small>BATS C2 Cboe EDGX</small>	Price	Year Low	Year High	MM ID - EFID	My ranking
Arcosa Inc.		26.49	21.00	34.92	Select	No
<small>Start date</small>	<small>6 MO ADV</small>	<small>3 MO ADV</small>	<small>10 DAY ADV</small>	<small>Open Interest</small>	<small>Shares Out.</small>	
July 29, 2019	123,456.87	125,458.21	505,236.59	196,147	48.77M	
ALLO	<small>BATS C2 Cboe EDGX</small>	Price	Year Low	Year High	MM ID - EFID	My ranking
Allogene Therapeutics Inc.		31.62	21.67	35.55	Select	No
<small>Start date</small>	<small>6 MO ADV</small>	<small>3 MO ADV</small>	<small>10 DAY ADV</small>	<small>Open Interest</small>	<small>Shares Out.</small>	
July 28, 2019	372,742.34	430,240.81	319,406.31	916,877	121.48M	
APHA	<small>BATS C2 Cboe EDGX</small>	Price	Year Low	Year High	MM ID - EFID	My ranking
Aphria Inc.		7.94	6.59	19.87	Select	4
<small>Start date</small>	<small>6 MO ADV</small>	<small>3 MO ADV</small>	<small>10 DAY ADV</small>	<small>Open Interest</small>	<small>Shares Out.</small>	
July 28, 2019	123,456.87	125,458.21	505,236.59	196,147	25.38M	
EVOP	<small>BATS C2 Cboe EDGX</small>	Price	Year Low	Year High	MM ID - EFID	My ranking
Evo Payments Inc.		26.09	19.00	30.45	Select	4
<small>Start date</small>	<small>6 MO ADV</small>	<small>3 MO ADV</small>	<small>10 DAY ADV</small>	<small>Open Interest</small>	<small>Shares Out.</small>	
August 03, 2019	123,456.87	125,458.21	505,236.59	196,147	25.38M	

- Once you have assigned a rank to the desired products, select **Submit**.

Result: A verification dialog is displayed.

US
US Options Product Ranking and Selection Manual (Version 1.0.1)

Product ranking and selection (Cboe)

Anticipated start date
July 28, 2019

Preferred products	Not interested
1 ALLO Allogene Therapeutics Inc.	ACA Arcosa Inc.
2 PLAN Anaplan Inc.	
3 EVOP Evo Payments Inc.	
4 APHA Aphria Inc.	

Cancel Submit

4. On the verification dialog, select **Submit** to complete the process or **Cancel** to return to the previous screen.

Note: After the final allocation end date and time have passed, users can still review the submitted allocations in the tool, until a new allocation in which the users firm is involved begins.

Once Cboe has processed the product allocations for a session, an automated email will be sent to the DPM or MM firm confirming product allocations. For products listed on C1, the email will also identify floor Post and Station locations where each newly listed product will trade in open outcry. Firms should contact the Operations Support Center (marketservices@cboe.com) to designate contact information for receiving automated emails.

3 Additional Information

For additional information about the Customer Web Portal refer to the [Customer Web Portal Specification](#).

For questions and comments related to the product allocation process, contact the Operations Support Center at marketservices@cboe.com.

Revision History

Document Version	Date	Description
1.0.0	04/16/20	Initial version 1.0.0.
1.0.1	04/21/20	Corrected contact information in Additional Information section from Market Services to Operations Support Center.