

Cboe Futures Exchange, LLC

TPH Modification Form

This form is to be completed by a current Trading Privilege Holder ("TPH") for the types of changes described below. Additional documentation may need to be submitted depending on the change. An executed version of this Form can be delivered via email to MembershipServices@cboe.com

GENERAL INFORMATION			
Firm Name:			
CRD Number:		NFA Number:	
CONTACT			
Name:		Email:	
Title:		Phone:	
BUSINESS ACTIVITY(IES)			
<input type="checkbox"/> Add <input type="checkbox"/> Remove	<input type="checkbox"/> Proprietary Trading Firm <input type="checkbox"/> Brokerage Firm <input type="checkbox"/> Clearing Firm <input type="checkbox"/> Pool Manager		
<input type="checkbox"/> Add <input type="checkbox"/> Remove	<input type="checkbox"/> Proprietary Trading Firm <input type="checkbox"/> Brokerage Firm <input type="checkbox"/> Clearing Firm <input type="checkbox"/> Pool Manager		
POOL MANAGER CHANGE			
Applicable to a Pooled Investment Vehicle - Identify the Pool Manager(s) - operator(s), investment manager(s) or investment advisor(s) of the Pool requiring an update.			
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name: _____ NFA #: _____		
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name: _____ NFA #: _____		
CHANGE TO EXECUTIVE OFFICER(S)			
Identify any changes to Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, Chief Compliance Officer, or any equivalent position. Each new officer must complete pages 9-12 of the Organization TPH Application .			
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
<input type="checkbox"/> Remove	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
<input type="checkbox"/> Remove	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
<input type="checkbox"/> Remove	Phone:	Email:	

<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
	Phone:	Email:	
<input type="checkbox"/> Add <input type="checkbox"/> Remove	Name:	CRD #:	NFA #:
	Title:		
	Phone:	Email:	

Signature of Authorized Officer, Partner or Managing Member

Printed Name

Title

Date