

# Cboe C2 Exchange, Inc.

## TPH Modification Form

This form is to be completed by a current Trading Permit Holder (“TPH”) for the types of changes described below. Additional documentation may need to be submitted depending on the change. An executed version of this Form can be delivered via email to [MembershipServices@cboe.com](mailto:MembershipServices@cboe.com).

### GENERAL INFORMATION

Firm Name:	
CRD Number:	NFA Number:
<b>CONTACT</b>	
Name:	Email:
Title:	Phone:

### BUSINESS CAPACITY(IES)

<input type="checkbox"/> Add <input type="checkbox"/> Remove	<input type="checkbox"/> Proprietary Trader <input type="checkbox"/> Broker <input type="checkbox"/> Clearing Participant <input type="checkbox"/> Market Maker <input type="checkbox"/> Transact Business w/the Public
<input type="checkbox"/> Add <input type="checkbox"/> Remove	<input type="checkbox"/> Proprietary Trader <input type="checkbox"/> Broker <input type="checkbox"/> Clearing Participant <input type="checkbox"/> Market Maker <input type="checkbox"/> Transact Business w/the Public

### RESPONSIBLE PERSON(S)

The Responsible Person must be a United States based officer, director, or management-level employee of the TPH, who is responsible for the direct supervision and control of Associated Persons of the TPH. Each Responsible Person must sign an [C2 Individual Consent to Jurisdiction](#) and hold the appropriate qualification examinations and registrations [e.g., TP (Series 24)] on WebCRD.

<input type="checkbox"/> Add	Name:	CRD #:
	Title:	NFA ID:
<input type="checkbox"/> Remove	Phone:	Email:
<input type="checkbox"/> Add	Name:	CRD #:
	Title:	NFA ID:
<input type="checkbox"/> Remove	Phone:	Email:

Signature of Authorized Officer, Partner, or Managing Member of Applicant

Date

Printed Name

Title