

**Cboe Options Exchange  
Registration of Originating Clearing Firm(s)  
For Select Customer Options Reduction (“SCORE”) Program**

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Any Trading Permit Holder (“TPH”) Originating Clearing Firm or non-TPH Originating Clearing Firm that wishes to participate in Select Customer Options Reduction (“SCORE”) must provide the information below by the 25th day of the month preceding the month in which their participation in the SCORE discount program will commence. For this program, an “Originating Clearing Firm”, will be defined as either (a) the executing clearing OCC number on any transaction which does not also include a Clearing Member Trading Agreement (“CMTA”) OCC clearing number or (b) the CMTA in the case of any transaction which does include a CMTA OCC clearing number.

The Exchange will aggregate an Originating Clearing Firm’s volume with volume of their OCC clearing affiliates if such affiliates are reported to the Exchange and there is at least 75% common ownership between the firms as reflected on each firm’s Form BD, Schedule A. Any changes to such affiliations must be provided to the Exchange via this form immediately.

ORIGINATING CLEARING MEMBER	
Firm Name:	
OCC Firm No:	
AFFILIATED CLEARING MEMBER	
Firm Name:	
OCC Firm No:	
AFFILIATED CLEARING MEMBER	
Firm Name:	
OCC Firm No:	
BILLING CONTACT FOR ORIGINATING CLEARING MEMBER	
Name:	Title:
Phone:	Email:

Please fill out the above information and e-mail the completed form as an attachment to [membershipservices@cboe.com](mailto:membershipservices@cboe.com).

**Additional Information:**

Questions may be directed to the Options Account Coverage group at [optionsaccountcoverage@cboe.com](mailto:optionsaccountcoverage@cboe.com) or Membership Services at [membershipservices@cboe.com](mailto:membershipservices@cboe.com).

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Originating Clearing Firm Authorizing Signature<sup>1</sup>

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

<sup>1</sup> Must be an Executive Officer and/or Director listed on Form BD, Schedule A