

Affiliated Entity MTP Attestation

This request for affiliate Match Trade Prevention (“MTP”) functionality is made to Cboe BZX Exchange, Inc., Cboe BYX Exchange, Inc., Cboe EDGA Exchange, Inc., and Cboe EDGX Exchange, Inc. (collectively, the “Exchange”) by the Users noted below. This request should be completed by Users requesting to use an affiliate identifier in order to identify certain orders as being ineligible to match with a contra side order from a User whose order is also marked with an identical affiliate identifier. Affiliate identifiers are only available to Users who maintain separate Exchange memberships or sponsored participant relationships and can provide evidence of control between the Users.

The Users certify that _____ is the majority owner of _____
User 1 Name User 2 Name

(collectively, “Affiliated Entities”) and that these Users seek to enable MTP as Affiliated Entities. The affiliate identifier will be used solely to enable MTP between all MPIDs associated with the affiliate identifier assigned to the Affiliated Entities and will not be available for use with any other Exchange functionality.

Please describe any evidence of control between the Users below (including, but not limited to: shared trading employees, share trading desks, shared trading technology, and coordinated trading strategies):

By completing this document, the Users acknowledge that the persons executing this document are authorized to do so and agree to comply with the MTP functionality that accompanies the execution of this attestation.

An executed version of this attestation can be delivered to the Exchange via email to MembershipServices@cboe.com.

User 1 Name:	CRD #:
_____ Signature of Authorized Officer, Partner, Managing Member or Sole Proprietor	_____ Date
_____ Printed Name	_____ Title

User 2 Name:	CRD #:
_____ Signature of Authorized Officer, Partner, Managing Member or Sole Proprietor	_____ Date
_____ Printed Name	_____ Title