

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional) 14-08

Date: 06/19/2014

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION

Javelin SEF, LLC

FILING AS A:

DCM

SEF

DCO

SDR

ECM/SPDC

TYPE OF FILING

• Rules and Rule Amendments

- Certification under § 40.6 (a) or § 41.24 (a)
- “Non-Material Agricultural Rule Change” under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Made Available To Trade Determination under § 40.5 or § 40.6
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

• Products

- Certification under § 40.2 (a) or § 41.23 (a)
- Submission under § 39.5
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

513

DESCRIPTION

Rule 513 amended to conform to industry standard



June 19, 2014

Submitted via email

Office of the Secretariat
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street
Washington, DC 20581

Re: Submission of Javelin SEF, LLC Rule Amendment (Submission No. 14-08)

To Whom It May Concern:

Pursuant to Section 5c(c) of the Commodity Exchange Act (“CEA”) and Section 40.6(a) of the regulations of the Commodity Futures Trading Commission (the “Commission”) Javelin SEF, LLC (“Javelin SEF”) hereby submits to the Commission rule amendments to its Rulebook.

Specifically, Javelin SEF has amended Rule 513 (“Rule Amendments”). The Rule Amendments will be effective July 3, 2014.

A concise explanation and analysis of the Rule Amendments is attached as Exhibit A. A marked copy of the Rule Amendments, which indicates the revisions with additions underscored and deletions overstruck as indicated, is attached as Exhibit B. A clean copy of the Rule Amendments is attached as Exhibit C.

Javelin SEF certifies that these rule amendments comply with the CEA and the Commission’s Regulations. Javelin SEF further certifies that this Submission has been concurrently posted on Javelin SEF’s website at <http://www.theJavelin.com>. No substantive opposing views were expressed with respect to Rule Amendments.

If you have any questions regarding this submission, please contact me at (646) 307-5931 or suellen.galish@thejavelin.com.

Sincerely,

/s/Suellen Galish
Senior Managing Director
General Counsel and
Chief Compliance Officer

Attachments

cc: Mark Schlegal, Division of Market Oversight, Commodity Futures Trading Commission
(Email: MSchlegal@CFTC.gov)

Exhibit A

Explanation and Analysis of Javelin SEF Rule Changes

The following is a concise explanation and analysis of the proposed rule amendments which includes the core principles and Commission regulations thereunder.

Rule	Explanation of Amendment(s)	Applicable Core Principle and Commission Regulation
Rule 513	Javelin SEF, LLC is amending Rule 513 in order to conform to the industry standard for CTI Codes.	Core Principle 2 Rule 37.202 Rule 37.205

EXHIBIT B

Marked Copy of Rule Amendments

Rule 513. Customer Type Indicator Codes

At the time of order entry, all Participants shall identify each order submitted to Javelin SEF with the correct Customer type indicator code ("CTI Code"). The CTI Codes are as follows:

CTI 1 - All orders that do not fall within the definition of CTI 2~~entered on behalf of the proprietary account of a Participant.~~

CTI 2 - All orders entered on behalf of the proprietary account of a Participant~~that do not fall within the definition of CTI 1.~~

EXHIBIT C

Rule Amendments

Rule 513. Customer Type Indicator Codes

At the time of order entry, all Participants shall identify each order submitted to Javelin SEF with the correct Customer type indicator code ("CTI Code"). The CTI Codes are as follows:

CT11- All Orders that do not fall within the definition of CTI 2

CT12- All orders entered on behalf of the proprietary account of a Participant.