



**Cboe SEF
Authorized Trader Request Form**

This form is to be completed by a current Participant for use in designating an Authorized Trader. All designations and deletions must be made solely through submission of this form. Refer to SEF Rule 310 and SEF 311 for Authorized Trader requirements.

An executed version of this Form can be delivered via email to MembershipServices@cboe.com.

GENERAL INFORMATION	
Participant Name:	
Business Contact Name:	Email:

ADD AUTHORIZED TRADER(S)		
All fields must be completed. If the individual has never had an NFA and/or CRD #, please indicate n/a. Additional Authorized Traders may be submitted in a separate excel document, along with this Form.		
Name:	NFA ID:	
Title:	FINRA CRD:	
Email:	Phone:	
Business Address:		
City:	State:	Zip Code:
OEO ID ¹ :	<input type="checkbox"/> Manual	<input type="checkbox"/> ATS Supervisor ²
Authorized Trader's Supervisor:		
Email:	Phone:	
Name:	NFA ID:	
Title:	FINRA CRD:	
Email:	Phone:	
Business Address:		
City:	State:	Zip Code:
OEO ID:	<input type="checkbox"/> Manual	<input type="checkbox"/> ATS Supervisor
Authorized Trader's Supervisor:		
Email:	Phone:	

REMOVE AUTHORIZED TRADER(S)	
Name:	Name:
Name:	Name:

Signature of Authorized Signatory

Date

Printed Name

Title

¹ "OEO ID" shall mean an Order Entry Operator ID. Each Authorized Trader shall have a unique OEO ID. An Authorized Trader that both submits trades manually and supervises an ATS shall have unique OEO IDs for each activity.

² "Automated Trading System" shall mean a system that automates the generation and routing of Bids/Offer on the Platform(s) in the name of the Participant.