



TMX AUSTRALIA EXCHANGE

Trade Reporting Guide

3 August 2026





Trade Reporting Guide

Trade Report Type	CXA Code	Iress Code	Comparable ASX Code	Minimum Requirements Summary	Reporting/Validation Times	Applicable Products
NBBO X Trades within the spread (meaningful price improvement)	S	NX	NX	Trade price is validated against the NBBO at time of receipt, with an ASIC approved tolerance.	Continuous Trading 10:00 to 16:13 Where a valid two-sided spread exists - for further details refer to the <i>NBBO Compilation and Validation Policy</i>	Equities Hybrids
Block Trade	B	SP	S1	For Equity Market Products ¹ Not Less than \$1m for Tier 1 Not Less than \$500k for Tier 2 Not Less than \$200k for Tier 3	06:35 to 18:55	Equities ETFs Hybrids
ETF Special Trade - Unit Creation and Redemption	F	ET	ET	For the Creation or Redemption of ETF units on behalf of issuer by Market Marker.	06:35 to 18:55	Equities ETFs Hybrids
Large Portfolio Trade	P	SX	SX	Client to Client. Total Not Less than \$5m AUD and ≥ 10 products with ≥ \$200k value each. Any additional stocks do not have a minimum value requirement.	06:35 to 18:55	Equities ETFs Hybrids
Large Portfolio Trade - Facilitated (Delayed reporting accepted)	P²	SXDE ³	SX	Principal to Client. Total Not Less than \$5m AUD and ≥ 10 products with ≥ 200k value each. Any additional stocks do not have a minimum value requirement.	06:35 to 18:55 - If done before 13:00, reportable until 09:45 next trading day. - If received after 13:00, reportable until 13:00 next trading day.	Equities ETFs Hybrids
Large Principal Transaction	T	PX	S1	FSSBSC Categories A - Not less than \$15m B - Not less than \$10m C - Not less than \$5m D - Not less than \$2m	06:35 to 18:55	Equities ETFs Hybrids

¹ Tiers published quarterly by ASIC at <https://www.asic.gov.au/block-trade-tiers>

² Displayed as 'P' on market data

³ Requires negotiated time to be entered



Large Principal Transaction (Delayed reporting accepted)	t⁴	PXDE ⁵	S1	FSSBSC Categories A - Not less than \$15m B - Not less than \$10m C - Not less than \$5m D - Not less than \$2m	06:35 to 18:55 If done before 13:00, reportable until 09:45 next trading day. If received after 13:00, reportable until 13:00 next trading day.	Equities ETFs Hybrids
Booking Purposes Trade	X	BP	BP	Booking purposes only (not publicly disseminated)	06:35 to 18:55	Equities ETFs Hybrids Warrants
Post Trading Hours (Late Trades)	L	PC	L1-L5	1 - Genuine Book Squaring 2 - Hedging 3 - Narrowly missed execution in CSPA 4 - Error Rectification 5 - Put Through	16:13 to 16:43	Equities ETFs Hybrids Warrants
(Evening Trades)	E	LT ⁶	OS, OR, LT	Order received during Out of hours period	16:43 to 07:00 (next trading day). Can report until 09:45 (next trading day)	Equities ETFs Hybrids Warrants
Pre-Trading Hours (Morning Trades)	M	PO	OS, OR, LT	Principal or agency trades Overseas resident clients Recognised stock exchange	07:00 to 09:45	Equities ETFs Hybrids Warrants

⁴ Displayed as 'T' on market data

⁵ Requires negotiated time to be entered

⁶ Requires negotiated time to be entered



Trade Reporting Windows

Trading Day One (T)								Trading Day One (T+1)		
6:35	7:00	9:46	10:00	13:00	16:13	16:43	18:55	7:00	9:45	13:00
B – Block Trades										
F – ETF Trade Report										
P – Large Portfolio Trades										
P – Large Portfolio Trades – Delayed Reporting								Delayed, before 13:00	Delayed, after 13:00	
T – Large Principal Transaction										
T - Large Principal Transaction - Delayed Reporting								Delayed, before 13:00	Delayed, after 13:00	
X - Booking Purposes										
			S – NBBO X							
					L - Post Trading					
						E - Out of Hours		Report Until 09:45		
	M – Pre Hours									
6:35	7:00	9:46	10:00	13:00	16:13	16:43	18:55	7:00	9:45	13:00



Contact us for more information

Trade Desk

+612 8078 1701

tradedeskau@cboe.com

Sales

+61 2 8078 1734

au.sales@cboe.com

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