

## CREST Clearing and Settlement Details

Please refer to the Guidance Notes below when completing this form.

For additional assistance please contact the Cboe Clear Client Services Team on +44 (0) 20 7392 7490 or at [cm.cboeclear@cboe.com](mailto:cm.cboeclear@cboe.com)

This form is to be completed for settlements in the Euroclear UK & Ireland (EUI) CREST system. The information will be used by both Cboe Clear and EUI.

### Clearing Member Details

Clearing Member Name:

Clearing Member Mnemonic:

Z <sup>i</sup>	E	U	I
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### Settlement Firm Details

Settlement Firm Name:

Settlement Firm ID:

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### Central Sponsor ID (tick applicable boxes)

EMCFNLKE<sup>ii</sup>  
(TSO = 9)

☐

EMCFNLKC (TSO = Q)

☐

GEBANLKA  
(TSO = N)

☐

GEBANLKF (TSO = B)

☐

### Clearing Accounts and Settlement Accounts

Central Sponsor ID	Dealing Capacity	House, Client or Both <sup>iii</sup>	CREST Participant ID	CREST Member Account ID <sup>iv</sup>
EMCFNLKE	P			
EMCFNLKE	A			

<b>Contacts</b>
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Please provide a settlement contact (this may be a contact at your settlement agent), a corporate actions contact and a compliance contact.

<b>Settlement</b>	<b>Name</b>	<b>Position</b>
	<b>Phone Number</b>	<b>Email Address</b>

<b>Corporate Actions</b>	<b>Name</b>	<b>Position</b>
	<b>Phone Number</b>	<b>Email Address</b>

<b>Compliance</b>	<b>Name</b>	<b>Position</b>
	<b>Phone Number</b>	<b>Email Address</b>

<b>Declaration</b>
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I declare, for and on behalf of the Clearing Member, that the information provided is complete, up to date and correct. The company undertakes to immediately notify Cboe Clear of any changes in the information which has been provided that occur after the date of submission of this form.

Name	
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Position	
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Clearing Member Legal Entity	
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Authorised Signature	
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Date	
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## Appendix 2 – Stamp Assessment Service<sup>v</sup>

**SAT Sending Participant:** **EMCFNLKE**

[illegible]

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- i The 'Z' is the standard mnemonic prefix in CREST for CREST Clearing Participants (Undertakings).
  - ii Direct Input options for SETS trades should be set up in CREST against Central Sponsor 'EMCFNLKE'. Settlements are to be instructed with a TSO of '9'.
  - iii Please indicate whether trades for the relevant central sponsor and dealing capacity can be cleared only through the member house account, member client account or both.
  - iv This field is mandatory, being used for automatic claim generation on unmatched transactions as well as for creating Direct Input instructions and Stamp Assessed trades (SATs).
  - v The entries in this table can be derived from the earlier entries in the form. Cboe Clear will complete this section if not completed by the member.