



Changing Cboe Clear Clearing Rule Book & Regulations

stakeholder consultation

10 January 2023

1. Introduction

Cboe Clear values the input from Clearing Participants and other stakeholder with respect to (changes to) the Clearing Rule Book and Regulations. With respect to the Clearing Rule Book, consultation of Clearing Participants shall take place in accordance with article 3.5 of the Clearing Rule Book and article 5 (2) of Commission Delegated Regulation (EU) No 153/2013 of 19 December 2012 . With respect to the Regulations, consultation of the EMIR Risk Committee and the Member Advisory Council shall take place in accordance with this document.

2. Stakeholder consultation

Consultation of the EMIR Risk Committee and the Member Advisory Council shall take place in accordance with the table below; provided that drafting or clarifying edits shall not be subject to consultation. At the discretion of the Cboe Clear Head of Commercial Services & Business Development and the Chief Risk Officer, consultation of the Risk Committee and the Member Advisory Council can also take place on changes to other Regulations.

<i>Regulation</i>	<i>EMIR Risk Committee consultation</i>	<i>Member Advisory Council consultation</i>
1. Regulation Fees and Penalties		
2. Regulation Liquidity Measures	Yes	
3. Regulation Buy in Procedures		
4. Regulation Settlement		
5. Regulation Corporate Actions		
6. Regulation Derivatives		
7. Regulation Position Management		
8. Regulation Clearing Days		
9. Regulation Securities		
10. Regulation Statement of Authority		
11. Regulation Collateral	Yes	
12. Regulation Acceptable Collateral	Yes	
13. Regulation Clearing Fund	Yes	
14. Regulation Interoperability Fund	Yes	
15. Regulation Margin	Yes	
16. Regulation Trade Refusal		
17. Regulation Investment Policy	Yes	
18. Regulation Complaints		Yes
19. Regulation Portability		