



## Reminder: Bats 2017 Regulation SCI BCP/DR Test Plan

### Overview

In coordination with other market centers and in support of Regulation SCI requirements, Bats BYX Exchange, BZX Exchange, EDGA Exchange, EDGX Exchange, BZX Options Exchange and EDGX Options Exchange will be hosting their annual BCP/DR test on Saturday, October 14, 2017.

### Member Participation Requirements

As required by Regulation SCI, certain Members of each Bats trading platform have mandatory participation requirements in an annual DR test. Within fifteen (15) calendar days following the end of each quarter, Bats provides notice to qualifying Members that will have a mandatory DR testing participation requirement. Members that qualified for mandatory participation at the end of Q2 2017 are expected to participate in the test. Required participants are expected to connect to the applicable Exchanges' backup systems and submit a meaningful amount of orders to the DR site during the test. The expected level of activity will be at least two executions on each designated Exchange.

Non-qualifying Members are also encouraged to participate, but will have no registration or volume requirements.

### Test Schedule

Secondary site ports in Chicago are available for telnet testing at any time.

The expected weekend test schedule (*subject to adjustment with notice*) will be as follows:

- 8:30 AM ET – Connectivity to the Chicago DR site will be available
- 9:00 AM ET – Pre-open trading session begins
- 9:30 AM ET – Regular market trading session open
- 10:15 AM ET – IPO using test symbol ZBZX
- 12:00 PM ET – Regular market trading session close
- 1:00 PM ET – Post-market trading session close

### Weekend Test Script

All symbols will be made available for order entry and Bats systems will start up within Chicago.

Members with connectivity to the secondary site will be allowed to connect, submit orders, and receive market data for the duration of the test. Per normal weekend test standards, the trade date for all transactions on all exchange messaging (e.g. order acknowledgements, execution reports, drop and market data) during this test will be the actual date (10/14/2017). The following functionality will be tested and verified during the DR test:

- Pre-open trading session
- Member connectivity

- Regular market trading session open
- IPO functionality (using test symbol ZBZX)
- Trade activity
- Market data dissemination
- Order routing
- Clearing
- Regular market trading session close
- After-market trading session

For each Member port in the primary data center there is an analogous port in the secondary data center that is ready for connection. Secondary data center port information can be found within the [Bats Member Web Portal](#).

### Technical Support

The CBOE Bats Trade Desk (913.815.7001) and NOC (913.815.7005) will be available for support for the duration of all weekend test opportunities as well as during normal business hours.

### More Information

Please contact the CBOE Bats Trade Desk or your [Director of Sales](#) with any questions. We appreciate your continued support of Bats and look forward to earning more of your business.

### **CBOE Bats Trade Desk**

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