

BATS / Direct Edge Integration Test and Member Certification Schedule

Weekend Test Opportunities

Please be advised that BATS has reserved the following dates for Member weekend test opportunities of the new EDGA and EDGX trading platforms located in the Equinix NY5 data center in Secaucus, NJ.

- Saturday, October 11th
- Saturday, November 15th
- > Saturday, December 13th
- Saturday, January 10th (final testing opportunity)

Testing time and further details will be provided in a future notice.

SIFMA BCP Test

On Saturday, October 25th, BATS also intends to provide Members with an opportunity to participate in the SIFMA BCP test using the new EDGA/EDGX trading platforms within the CenturyLink Technology Solutions (formerly Savvis) CH4 data center in Chicago, IL. This particular test will be limited to a defined list of test symbols to be provided at a later date. All other symbols will be reserved for use by Members testing against the existing EDGA/EDGX secondary data center.

Q4 Test Opportunities

As outlined in the previously communicated Integration Schedule, BATS plans to allow Members to trade TEST SYMBOLS ONLY in the new EDGA/EDGX NY5 environments Monday through Friday in the 4th quarter of 2014. The defined list of test symbols and hours of operation will be provided at a later date.

Certification

All EDGA/EDGX Members will be required to re-certify their order entry protocol(s) of choice on the new EDGA/EDGX trading platform in NY5 in the 4th quarter of 2014. Prior to the 4th quarter, in an effort to streamline the certification process, BATS will provide Members with a questionnaire to schedule and identify features and functions the Members will need to certify through the BATS Trade Desk.

BATS will not require re-certification of common features across exchanges if they are successfully tested in any one exchange within NY5. Features unique to a specific exchange will have to be certified in the respective exchange's certification environment.

More Information

Please contact the BATS Trade Desk or your <u>Director of Sales</u> with any questions. We appreciate your continued support of BATS and look forward to earning more of your business. As always, we are committed to our Members and to Making Markets Better.

BATS Trade Desk

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Learn more about the BATS/Direct Edge merger at bats.com/edgeintegration.