Consolidated Audit Trail Pre-RFP Bidders Conference on January 29th

The national securities exchanges and FINRA (collectively the "SROs") together are hosting an in-person event for interested parties that may wish to respond to the Request For Proposal (RFP) that will be issued by the SROs related to the creation of a Consolidated Audit Trail (CAT) per SEC Rule 613.

This event is intended for potential bidders. The SROs will discuss information related to the Information for CAT Bidders Document recently published on the Consolidated Audit Trail NMS website (www.catnmsplan.com). The agenda will focus on anticipated content and structure of the RFP scheduled for publication in February 2013. Business, technical, and operational requirements of the RFP will be reviewed along with necessary information that must be provided by bidders in response to the RFP.

The event will be held on **January 29, 2013 from 2:00 p.m. to 4:00 p.m.** at Digital Sandbox, located at 55 Broad Street, New York, NY. Registration for in-person attendance is required by **January 25, 2013.** Phone registration is required by 1:30pm ET on **January 29, 2013**.

To register for this event, please go to http://www.cvent.com/d/0cq4b3/4w

To accommodate interested parties not interested in submitting a bid, a listen-only dial-in number is also being provided. This event is closed to the media.

Please note that we will not be able to entertain questions from dial-in participants during the event. At the conclusion of the event, presentation materials will be posted on the catnmsplan.com website.

Participants are encouraged to send questions to the SROs in advance of the event so that we can provide as much information as possible during the event. Please submit questions that you would like addressed to **feedback@catnmsplan.com**.

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