Reminder: BATS to Participate in SRO Hosted Consolidated Audit Trail Industry Event on October 15th and 16th

The national securities exchanges and FINRA (collectively the "SROs") together are hosting an industry event to discuss SEC Rule 613 requiring the creation of a Consolidated Audit Trail (CAT). This event is being held at Bayard's at One Hanover Square in New York City and is open to all industry and other interested participants. *Please note that the event is closed to the media.*

During this event, the SROs will provide an overview of Rule 613 and the steps the SROs are taking to develop an NMS Plan as required in Rule 613, including the development of an RFP for a CAT processor. This event will also include a Q & A session so that industry participants may ask questions of the SROs. To ensure there is adequate space available, two identical sessions are being held. The first session will be on **October 15, 2012 from 2:00 p.m. to 4:00 p.m**. A second session will be held on **October 16, 2012 from 10:00 a.m. to 12:00 p.m.** Please select only one session so that all interested parties may be accommodated.

To accommodate interested parties that cannot attend in person, a listen only dial-in number is also being provided. Please note that we will not be able to entertain questions from dial-in participants during the event. At the conclusion of the event, presentation materials will be posted on the catnmsplan.com website.

Participants are encouraged to send questions to the SROs in advance of the event so that we can provide as much information as possible during the event. Please submit questions that you would like addressed to **feedback@catnmsplan.com**.

Advance registration for this event is required. Please click on the link below to register.
Register for this Event

Please contact the BATS Trade Desk or your Director of Sales with any questions.

BATS Trade Desk
913.815.7001
tradedesk@batstrading.com
www.batstrading.com/alerts
www.batsoptions.com/alerts