



BATS Options Exchange Penny Pilot Replacement Issues Effective January 3, 2013

Overview

This Circular is being issued to inform you that effective Thursday, January 3, 2013; BATS Options will be replacing 9 delisted issues in the Penny Pilot with the next most active multiply listed issues that are not yet in the Pilot.

Issue to be replaced:

Amylin Pharmaceuticals Inc (AMLN)
Energy Conversion Devices Inc (ENER)
Federal Home Loan Mortgage Corp (FMCC)
Federal National Mortgage Association (FNMA)
Human Genome Sciences Inc (HGS)
Oil Services HLDRS (OIHYL)
Semiconductor HLDRS (SMHYL)
Sunoco Inc (SUN)
Talbots Inc (TLB)

New Pilot/Replacement Issue:

Facebook Inc (FB)
Groupon Inc (GRPN)
Amarin Corp PLC (AMRN)
MetroPCS Communications Inc (PCS)
Direxion Daily Small Cap Bull 3X Shares (TNA)
LinkedIn Corp (LNKD)
Vringo Inc (VRNG)
Cheniere Energy Inc (LNG)
Knight Capital Group Inc (KCG)

For More Information

Please contact the BATS Trade Desk or your Director of Sales with any questions. We appreciate your continued support of BATS and look forward to earning more of your business. As always, we are committed to our Members and to Making Markets Better.

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