



Restricted Clearing Trading Permit Holder OCC Numbers 477 and 549 on Cboe (C1) Options Exchange

Reference ID: C2020012702

Overview

Pursuant to Cboe Options ("Cboe Options" or the "Exchange") Rule 5.10, Clearing Trading Permit Holders (CTPHs) may request the Exchange restrict their OCC clearing number(s) from being used for give-up purposes by other TPHs by "Opting In" to the list of restricted give-up firms on the Exchange. Such opt in requests must be submitted to the Exchange by the CTPH using the necessary paperwork located [here](#).

If a CTPH opts into the restricted give-up firm list, the Exchange will require written authorization from that CTPH in order to permit another TPH to give up a Restricted OCC Number.

If a CTPH does not opt in, that CTPH's OCC number(s) may be given-up by any other TPH organization on the Exchange.

A list of Restricted Clearing OCC numbers and firms has been posted on the Exchange Website, markets.cboe.com, located [here](#).

Clearing TPH Update

Cboe Options would like to inform TPHs that Timber Hill LLC has restricted its OCC Clearing Number(s) 477 and 549, effective April 20, 2020. As of that date, any TPH not approved by Timber Hill LLC will no longer be able to use OCC Number(s) 477 and 549 as a Give-Up.

More Information

Please contact Membership Services with any questions you may have regarding Cboe Options Rule 5.10 and CTPH restrictions.

We appreciate your business and remain committed to powering your potential with Cboe products, technologies and solutions.

Membership Services

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