



## DPM Appointment Transfer Proposal between Barclays Capital Inc. and GTS Securities, LLC

Reference ID: C2020021900

### Overview

#### **Applicable Cboe Exchange: Cboe Options**

This notice is given in accordance with the procedures utilized by the Exchange under Cboe Rule 3.53 in considering DPM appointment transfer proposals. Under Rule 3.53, the Exchange posts notice of any proposal by a DPM involving greater than a nominal transfer of interest in a DPM appointment. During the posting period, TPHs may submit to the Exchange any written comments and/or written alternative proposals. Following the posting period, the Exchange will determine what action to take regarding the proposal based on the factors enumerated in Rule 3.53 and any accompanying guidelines. The Exchange has not yet made any determination regarding whether to approve or disapprove the proposal described below, and the posting of the proposal does not imply that the Exchange has reached a particular determination with respect to the proposal.

### Details

The Exchange has received a proposal from Barclays Capital Inc. and GTS Securities, LLC, both TPH organizations approved to operate as DPMs, regarding a transfer of Barclays Capital Inc.'s Off-Floor DPM appointment.

Under the proposal, all classes currently allocated to Barclays Capital Inc. as a DPM would be reallocated to GTS Securities, LLC as an Off-Floor DPM, who will be responsible for the management and operation of the DPM. Staffing of the DPM would remain largely unchanged.

### More Information

Any written comments and/or alternative written proposals must be received by the Exchange, in care of Membership Services on or before noon on Tuesday, March 3, 2020.

For additional information regarding this proposed DPM Appointment Transfer between Barclays Capital Inc. and GTS Securities, LLC please reach out to Membership Services.

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**Membership Services**

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