



Cboe Options Fingerprint Processing Changes

Overview

Cboe Exchange, Inc. (“Cboe Options” or the “Exchange”) will be implementing several changes to the way fingerprints are processed and disseminated to Trading Permit Holders and their employees (collectively, “TPHs”) effective immediately.

Fingerprints and Civil Applicant Response (CAR) Reports

- Fingerprint images in Electronic format will no longer be distributed to TPHs by the exchange. Instead, individuals who need to obtain a copy of their fingerprints will need to either make a copy of their prints prior to submitting a hard copy to the Exchange or make such requests at the Badges and Jackets Department. If at the time of fingerprinting a copy is not requested, the individual will need to return to the Badges and Jackets Department and provide a current form of ID to obtain a copy of the prints.
- In addition, Civil Applicant Response (CAR) Reports will only be provided to the individual being fingerprinted via encrypted email. The CAR Reports will be sent to the email address that the individual provides on their signed Fingerprint Release. The Exchange will not be responsible for providing a copy of the CAR report to the individual’s Employer.
- TPHs who wish to retransmit their fingerprints will need to sign a new Fingerprint Release.

Additional Information

Please contact Membership Services at Registration@cboe.com with any questions.

We appreciate your continued support and will work hard every day to keep earning your business by powering your potential to stay ahead of an evolving market. As always, we are committed to our customers and to making markets better as your partner in trading.

Membership Services

312.786.7449

Registration@cboe.com

Published 3/22/18