

BATS Weekend Test Opportunity for BATS BYX Exchange, BZX Exchange and BATS Options Exchange Platforms in NY5 on March 28, 2015

Overview

BATS is pleased to offer Members the opportunity to participate in the <u>second of three weekend tests</u> of the BATS Options Exchange platform and the <u>second of four weekend tests</u> of the BYX Exchange and BZX Exchange trading platforms located in Equinix NY5 data center in Secaucus, NJ on **Saturday**, **March 28**, **2015**. All symbols and functionality normally available in production will be made available for these weekend tests.

Test Script

Weekend tests will take place from 8:30AM ET – 1PM ET per the following schedule:

8:30AM ET: Order may be entered and queued for the pre-market session open.

9:00AM ET: Pre-market session open.9:30AM ET: Regular market session open.

> 12:30PM ET: Regular market session close. Start of post-market session.

> 1:00PM ET: End of post-market session.

> 1PM-4 PM ET: Market Data Load Test.

Please be advised that while order entry will not be supported after 1PM ET on 03/28/15, BATS plans to perform load testing between 1PM – 4PM ET. Members that remain connected to market data feeds including the SIPs should expect to receive high volumes of market data from BYX, BZX and BATS Options during this time.

Note that these tests provide Members an opportunity to confirm all required physical and logical connectivity has been established and properly configured for their needs. BATS strongly encourages Members to test all functionality used in their normal course of business to help ensure they have a positive and seamless experience during the April 13th migration for BATS Options and the May 4th migration for BYX Exchange and BZX Exchange.

Among the features/functions BATS suggests Members consider testing are:

- Session Connectivity and Configuration
- Order Type and Handling
- Order Routing
- Market Data Receipt
- > Participation in Applicable Trading Sessions (i.e. pre-market, regular session, post-market)

Both the BATS Trade Desk (913.815.7001 – <u>tradedesk@bats.com</u>) and NOC (913.815.7005 – noc@bats.com) will be available for Member support.

Schedule

BATS has reserved the following dates for the production trading cutover and Member weekend test opportunities of the new trading platforms located in the Equinix NY5 data center in Secaucus, NJ.

- Saturday, March 28th Weekend Testing of BYX / BZX / Options
- Saturday, April 11th Weekend Testing of BYX / BZX / (final testing opportunity for Options)
- ➤ Monday, April 13th Migration Day for BATS Options
- Saturday, May 2nd Weekend Testing of BYX / BZX (final testing opportunity)
 Monday, May 4th Migration Day for BYX / BZX

More Information

Please contact the BATS Trade Desk or your <u>Director of Sales</u> with any questions. Additionally, refer to the latest Migration Update notification for additional migration details.

We appreciate your continued support of BATS and look forward to earning more of your business. As always, we are committed to our Members and to Making Markets Better.

BATS Trade Desk

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