

BATS Member Compensation Request Procedures

Overview

Effective immediately, member reimbursement requests for compensation will require submission via the BATS Member Web Portal.

Members who wish to file a claim must complete and submit the reimbursement form prior to the deadline specified in the <u>BATS Exchange Rules</u>. Refer to Rule 11.14 (<u>EDGA Exchange/EDGX Exchange</u> Rule Book) and Rule 11.16 (<u>BYX Exchange/BZX Exchange</u> Rule Book) for complete details.

All details of the trade(s) and corresponding covering transaction(s) related to the issue giving rise to the claim must be included.

More Information

Reference the <u>Exchange Rules</u> or contact the BATS Trade Desk or your <u>Director of Sales</u> with any questions regarding reimbursement procedures or to obtain a BATS Member Web Portal log-in.

We appreciate your continued support of BATS and look forward to earning more of your business. As always, we are committed to our Members and to Making Markets Better.

BATS Trade Desk

913.815.7001 tradedesk@bats.com