



## BATS BZX Exchange and EDGX Exchange to Expand Support of Sending Orders to Halt Auctions on the Primary Listing Exchange

### Overview

Today, ROOC orders on the BATS BZX Exchange and EDGX Exchange will send to the primary listing exchange in the event of a symbol's halt for inclusion in the halt auction. Effective Friday, November 13<sup>th</sup>, the BATS BZX Exchange and EDGX Exchange will support sending all routable non-ROOC orders to the halt auction on the primary listing exchange. BATS will provide port-level support allowing a member to default all routed orders, regardless of routing strategy, as eligible to participate in halt reopening auctions.

### Technical Details

The ability to default the routing of non-ROOC orders to the primary's re-opening auction will be controlled by a new port attribute. Members wishing to apply this behavior to their orders can request a modification of their ports using the [Logical Port Request Tool](#) available in the BATS Web Portal.

There is no way to control this behavior on an order-by-order basis. One can neither elect to participate in a halt auction nor opt out of participation on any individual order.

Members who opt in should be aware that this impacts **all** routable orders, including routing strategies that would not otherwise natively access the primary listing exchange. In the event of a primary listing exchange fill from a re-opening auction, firms should be prepared to receive the *FeeCode* (FIX Tag 9882) of "O" as well as any listing market as a *ContraBroker* (FIX Tag 375) on any routable order.

### Testing Opportunities

Sending all routable non-ROOC orders to the halt auction on the primary listing exchange is available for testing in the BZX and EDGX certification environments effective immediately.

### More Information

For more information, refer to the following technical specifications:

- [BATS US Equities BOE v2 Specification](#)
- [BATS US Equities FIX Specification](#)
- [EDGA/EDGX FIX Compatibility Mode Specification](#)

BATS appreciates your continued business. Please call the BATS Trade Desk or your Director of Sales with any questions regarding this change.

### **BATS Trade Desk**

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