

Reminder: Connectivity Available to EDGA/EDGX Certification Ports in NY5 Effective June 2, 2014

Overview

Per the <u>BATS/Direct Edge Integration Schedule</u>, effective **Monday**, **June 2**, **2014**, connectivity for the new EDGA/EDGX certification environments located within the Equinix NY5 data center will be available to Members. Physical ports will be made available for basic connectivity and logical ports will be available for heartbeating and functional testing of the BATS technology platform. Using the BATS Member Web Portal forms described below, Members may immediately start ordering physical and logical port connections that will be made available by June 2, 2014.

Latency Equalization within Equinix NY4 and NY5 Data Centers

BATS has engineered equal fiber length and latency for Members within either the NY4 or NY5 data center. When connecting to a BATS market in NY5 from either data center, the fiber between each Member's data center space to the BATS markets in NY5 will be equidistant as verified through optical testing.

Please note that Members connecting to a BATS market in NY5 from either NY4 or NY5 will be required to utilize a Single Mode Fiber connection. Members with existing physical connections of any type to EDGA/EDGX within NY4 will be supported through the integration, but will have higher latency than any new connections regardless of proximity to the EDGA/EDGX data center space in NY4. In order to receive equal latency, Members with existing physical connections within NY4 will need to establish new connections to EDGA/EDGX.

Ordering Physical and Logical Port Connections for NY5

To order new physical and logical port connections to BATS in NY5, Members must use a new web form located within the BATS Member Web Portal. Links to the respective form are found in the menu as shown below. Note that only Members with BATS Member Web Portal access that are designated by their firm to have access for requesting new ports will be able to utilize this form. Access to the BATS Member Web Portal can be obtained by contacting the BATS Trade Desk.



Each form will provide all the information required for the BATS Trade Desk or NOC to complete your request.

Physical Connection Request

Physical port fees will not be assessed until the port can be used to access at least one of EDGA, EDGX, BYX, BZX, or BATS Options. You can find the current fee schedule on the <u>Regulation page</u>.

ame *		
mail *		
hone *		
ling Contact Information		
Same as My Contact Infor	mation above.	
lame *		
itle *		
mail *		
hone *		
ill To Member ID		
f known)		
echnical Contact Information	on	
Same as My Contact Infor	mation above.	
lame *		
itle *		
mail *		
hone *		
onnection Information		
onnection Information	Equinix NY5	~
	Equinix NY5	×
ata Center *	Equinix NY5	×
lata Center * Jumber Of Connections *		
lata Center * lumber Of Connections * lort Type *	103	*
lata Center * lumber Of Connections * ort Type * iber Type *	10G SMF	\w_ \w_
hata Center * Number Of Connections * Nort Type * Nort Type * Nort Type * Nort Type Turn Up Date	10G SMF	\w_ \w_
Nata Center * Number Of Connections * Nort Type * Nort Type * Nort Type * Norted Turn Up Date Number	10G SMF	\w_ \w_
Nata Center * Number Of Connections * Nort Type * Nort	10G SMF	\w_ \w_
ata Center * lumber Of Connections * ort Type * ber Type * esired Turn Up Date ublic AS Number	10G SMF	\w_ \w_

* Denotes Required Field

Logical Port Request Logical port fees will not be assessed for NY5 based EDGA, EDGX, BYX, BZX, or BATS Options sessions until the month the respective platform is operating in production in NY5. You can find the current fee schedule on the Regulation page. My Contact Information Name * Email * Phone * Billing Contact Information Same as My Contact Information above. Email * Phone * Technical Contact Information Same as My Contact Information above. Name * Title * Email * Phone * Session Information Platform BZX Bill To MPID BATS Order Entry Port Number Of Ports Please add any information about port attributes here, if you would like to mimic an existing port, you can note that here as well. Additional Notes Drop Port Number Of Ports Protocol FIX DROP Please include details regarding what Port and/or MPID information should be dropped to each port. Additional Notes ☐ Market Data Port Number Of Ports Additional Notes If you would like BATS to notify third-parties of the creation of this port, please include their email address here: example@example.com Please Notify Additional Notes New ports will be created with default settings unless instructed otherwise. Include any specific instructions here. Submit. * Denotes Required Field

Once a request form has been submitted, a ticket will be generated and worked by the BATS Trade Desk for logical port requests and by the BATS NOC for physical port requests.

Port Fees

BATS will not be assessing physical or logical connectivity fees for Member connections into the new environments being deployed in NY5 until the respective markets' production environments have been decommissioned in their existing data center and are fully functional within NY5.

Port fees for existing production platforms can be found within the Fee Schedules on the public web sites:

- http://www.directedge.com/
- http://www.batstrading.com/

More Information

For more details regarding connectivity options available to BATS markets in NY5, refer to the <u>BATS</u> <u>U.S. Equities/Options Connectivity Manual Version 7</u>.

Please contact the BATS Trade Desk or your <u>Director of Sales</u> with any questions. We appreciate your continued support of BATS and look forward to earning more of your business.

BATS Trade Desk

913.815.7001 tradedesk@bats.com

BATS NOC

913.815.7005

noc@bats.com

Learn more about the BATS/Direct Edge merger at bats.com/edgeintegration.