

Reminder: BATS / Direct Edge Integration Test and Member Certification Schedule

Updates highlighted.

Weekend Test Opportunities

Please be advised that BATS has reserved the following dates for Member weekend test opportunities of the new EDGA and EDGX trading platforms located in the Equinix NY5 data center in Secaucus, NJ.

- Saturday, October 11th
- Saturday, November 15th
- > Saturday, December 13th
- Saturday, January 10th (final testing opportunity)

Weekend tests will take place from 9AM ET – 1PM ET and both Trade Desk and NOC will be available for Member support.

SIFMA BCP Test

On Saturday, October 25th, BATS also intends to provide Members with an opportunity to participate in the SIFMA BCP test using the new EDGA/EDGX trading platforms within the CenturyLink Technology Solutions (formerly Savvis) CH4 data center in Chicago, IL. This particular test will be limited to test symbols CBO, IBO, ZBZX, ZVZZT and ZWZZT. All other symbols will be reserved for use by Members testing against the existing EDGA/EDGX secondary data center.

Q4 Test Opportunities

As outlined in the previously communicated Integration Schedule, BATS plans to allow Members to trade TEST SYMBOLS ONLY in the new EDGA/EDGX NY5 environments from 8AM – 8PM ET Monday through Friday, beginning Friday, October 3, 2014. The defined list of test symbols to be supported is CBO, IBO, ZBZX, ZVZZT and ZWZZT.

Certification

All EDGA/EDGX Members will be required to re-certify their order entry protocol(s) of choice on the new EDGA/EDGX trading platform in NY5 in the 4th quarter of 2014. In an effort to streamline the certification process, please complete the certification questionnaire found on the BATS public web site to schedule and identify features and functions you will need to certify through the BATS Trade Desk. To schedule your firm's certification, send the completed certification questionnaires to tradedesk@bats.com by clicking on the Submit button within the form.

BATS will not require re-certification of common features across exchanges if they are successfully tested in any one exchange within NY5. Features unique to a specific exchange will have to be certified in the respective exchange's certification environment.

More Information

Please contact the BATS Trade Desk or your <u>Director of Sales</u> with any questions. Additionally, you may refer to the Certification Questions section of the <u>Integration FAQ</u>.

We appreciate your continued support of BATS and look forward to earning more of your business. As always, we are committed to our Members and to Making Markets Better.

BATS Trade Desk

913.815.7001 <u>tradedesk@bats.com</u> <u>www.batstrading.com/alerts/</u> <u>www.batsoptions.com/alerts/</u>

Learn more about the BATS/Direct Edge merger at bats.com/edgeintegration.