



BATS BYX Exchange and BZX Exchange ROOC Feature Release Postponed to December 3 and 4, 2014

Overview

Please be advised that the ROOC Feature Release has been postponed. ROOC will be released on the BYX Exchange on **Wednesday, December 3, 2014** and on the BZX Exchange on **Thursday, December 4, 2014** (pending SEC approval) as opposed to Monday, November 17. As a reminder, this strategy allows members to route to auctions on primary listing markets.

Please be advised that Market Day Orders will be released as planned on Friday, November 14.

Route to Away Auctions

On the effective dates, the BZX Exchange and the BYX Exchange will begin offering the ability to route to away auctions on the primary listing market. Members may utilize this feature by specifying *RoutStrategy* (FIX Tag 9400) = "ROOC" and *ExecInst* (FIX Tag 18) = "o", "c", or "a" to route to only the opening auction ("o"), only the closing auction ("c"), or both the opening and closing auctions ("a"). Note that ROOC orders where *ExecInst* (FIX Tag 18) = "o" or "a" will also be eligible to participate in halt auctions on the primary listing market. **Route to Away Auctions will only be available to Members that have certified for BOE2 or FIX Routing v2.**

Testing Opportunities

This feature is available for testing in the BATS certification environments effective immediately.

More Information

For more information, refer to the following technical specifications:

US Equity FIX Specification

- [BATS US Equities FIX v2 Specification](#)

US Equity BOE Specification

- [BATS US Equities BOE v2 Specification](#)

Please contact the BATS Trade Desk or your [Director of Sales](#) with any questions. We appreciate your continued support of BATS and look forward to earning more of your business.

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