

**IN ARBITRATION
UNDER CHAPTER XVIII OF THE RULES
OF THE CHICAGO BOARD OPTIONS EXCHANGE, INCORPORATED**

IN THE MATTER OF)	
)	
MF Global, Inc.,)	
)	
Claimant,)	
)	
v.)	File No. 09M002
)	
Michael Lohman,)	
)	
Respondent.)	
)	

AWARD

Representation

For Claimant:	Pro se
For Respondent:	No appearance

Pleadings

- MF Global, Inc.'s Statement of Claim and Uniform Submission Agreement, filed on or about: October 28, 2009

- Respondent did not file a Statement of Answer and did not execute a Uniform Submission Agreement.

Summary of Issues

On or about October 28, 2009, MF Global, Inc. ("Claimant"), filed a Statement of Claim ("Statement of Claim"), including exhibits in support of the allegations and request for damages contained in the Statement of Claim, against Michael Lohman ("Respondent").

Claimant's Statement of Claim alleged that Respondent has not paid Claimant for orders executed on his behalf from October 2008 through March 2009.

Claimant requested the following relief from the arbitration panel appointed to resolve the above-referenced dispute ("Panel"): (i) an award in favor of Claimant for \$128,687.65 for the unpaid brokerage amount owed by Respondent and (ii) an award of such other and further relief as the Panel may deem just and proper.

Respondent has not filed an Answer and has not filed a Uniform Submission Agreement. Respondent terminated from membership at the Chicago Board Options Exchange, Incorporated ("Exchange") in March 2009.

